AN ORDINANCE AMENDING BOROUGH DRUG AND ALCOHOL POLICIES FOR ALL NON-POLICE EMPLOYEES-CHAPTERS 69-82 and 69-92

WHEREAS, the Borough of Dumont ("Borough") passed Resolutions adopting amended Drug and Alcohol policies for all non-police employees of the Borough to ensure that all procedures related thereto reflect current Federal and State laws, and to ensure efficient, orderly, and effective operation of the Borough as it relates to personnel management;
WHEREAS, the Borough desires that the Borough Code be consistent with any and all modifications made by way of Resolution

NOW THEREFORE BE IT ORDAINED, by the Mayor and Council of the Borough of Dumont, County of Bergen, State of New Jersey, as follows:

1. Sections 69-82 through 69-92 of the Borough Code be and is hereby amended to read as follows: (changes in bold and deletions indicated by strikethroughs (e.g. deleted):

§ 69-82. Purpose and Applicability of the Commercial Driver’s License (“CDL”) Drug and Alcohol Policy

The provisions of this article shall apply to all employees of the Borough of Dumont except as otherwise specifically provided herein.

On February 5, 2020 the Borough passed Resolution No. 75, which adopted a workplace drug and alcohol testing policy for all Borough employees possessing a Commercial Driver’s License (“CDL”). That policy was implemented as the Borough is required to comply with 49 CFR Part 40 Regulations of the U. S. Department of Transportation (“DOT”) Procedures For Transportation Workplace Drug and Alcohol Testing Programs and Urine Specimen Collection Guidelines, Office of Drug and Alcohol Policy and Compliance, U.S. Department of Transportation for all employees of the Borough possessing a CDL. In addition to meeting Federal regulations, the policy establishes a program designed to help prevent accidents and injuries resulting from the misuse of alcohol or use of controlled substances by drivers of commercial motor vehicles.

§ 69-83. Commercial Driver’s License (“CDL”) Drug and Alcohol Policy
The CDL Policy is appended hereto as Exhibit A and incorporated as part of this Ordinance as if fully set forth in. A copy of the CDL Policy shall be on record with the Municipal Clerk’s Office at all times and available for inspection by any person.

§ 69-84. Applicability of the Drug and Alcohol Testing Policy for Non-CDL/Non-DOT Employees

On April 21, 2020, the Borough passed Resolution No. 110, which adopted a workplace drug and alcohol testing policy for the following employees: all employees of the Borough (including Department of Recreation, Library and Senior Center); all members of the Volunteer Fire Department and Volunteer Ambulance Corps, including dispatchers; all employees of the Police Department who are not covered by the Law Enforcement Drug Testing Policy; volunteers of any department of the Borough who interact with children on a regular basis; any Department of Public Works employee who does not hold a CDL and is therefore, not regulated by the DOT.

§ 69-85. Purpose of the Drug and Alcohol Testing Policy for Non-CDL/Non-DOT Employees

One of the Borough’s prime goals in its role as an employer, is in protecting the safety, health and welfare of its employees and others with whom they interface such as citizens, contractors and members of the public. The objective of the policy is to maintain a working environment free from the adverse effects of substance abuse. While the Borough has no intention of intruding into the private lives of its employees, the Borough does expect employees to report to work unimpaired and
able to perform the duties of their job safely and effectively. The Drug and Alcohol Testing Policy for Non-CDL/Non-DOT employees achieves that goal.

§ 69-86. Drug and Alcohol Testing Policy for Non-CDL/Non-DOT Employees

The Non-CDL/Non-DOT Policy is appended hereto as Exhibit B and incorporated as part of this Ordinance as if fully set forth in. A copy of the Non-CDL/Non-DOT Policy shall be on record with the Clerk's Office at all times and available for inspection by any person.

§ 69-87. Inapplicability.

Law Enforcement Officers are covered by the Law Enforcement Drug Testing Policy enacted by the Dumont Police Department and are therefore, not covered by this Ordinance. Elected officials, who are not otherwise classified as employees, are not subject to the provisions of this Ordinance.

§ 69-83. Definitions.

As used in this article, the following terms shall have the meanings indicated:

SUBSTANCE ABUSE——

A. The unlawful manufacture, distribution, dispensation, possession, or use of any controlled dangerous substance as defined in any law the State of New Jersey or of the United States.

B. The use or consumption of an alcoholic beverage or controlled dangerous substance in such manner or in such quantity so as to adversely affect an employee's work performance or ability to carry out job-related duties.
SUBSTANCE SCREENING—The taking of samples of urine and/or blood or the taking of breath samples and the testing thereof to determine the presence of controlled dangerous substances or the presence of alcoholic beverages.

§ 69-84. Pre-employment screening.

A. As a condition of employment with the Borough of Dumont, an applicant selected for employment on a permanent basis by the Borough of Dumont shall be required to submit to substance screening.

B. Any applicant for employment who refuses to submit to such substance screening or who is determined as a result of such substance screening to have violated the provisions of this article regarding substance abuse shall not be employed by the Borough of Dumont.

C. All advertisements and announcements of employment positions with the Borough of Dumont shall contain a notice that substance screening is a condition of employment; provided, however, that the failure to provide such notice shall not preclude such preemployment substance screening.

§ 69-85. Routine or regularly scheduled medical examinations.

A. Whenever medically indicated, as part of any regularly scheduled medical examination, or routine medical examination scheduled in connection with an injury, accident, disability, workers' compensation claim, illness, or sick leave abuse, the Borough may require an employee to submit to the taking of blood and/or urine samples.

B. Any employee who refuses to undergo such regularly scheduled or routine physical examination and to submit to the taking of such samples shall be in violation of provisions of this article.
C. In addition to such tests as may be medically indicated, the Borough may submit such samples for substance screening.

§ 69-86. Individualized substance screening.

A. The Borough of Dumont may require any employee to undergo mandatory substance screening whenever there is individualized reasonable suspicion to believe that such employee has committed substance abuse.

B. Whenever an employee's supervisor shall have individualized, reasonable suspicion that an employee may have committed substance abuse, the supervisor shall prepare a report, in writing, identifying the employee and setting forth, in detail, the factual basis for such individualized reasonable suspicion. Where the individualized, reasonable suspicion is based upon the observations of other than the supervisor preparing the report, the supervisor shall obtain and attach to the report a written witness statement signed by the observer.

C. Upon completion of the written report, the supervisor shall sign same and submit same to the respective department head. The department head shall review said report, and any accompanying documentation, and may, in his discretion, request additional information, question witnesses, consult with the employee, or conduct his own investigation. If the department head reasonably determines that the report and accompanying documents, together with the results of any further investigation, results in an individualized, reasonable suspicion that an employee has violated the provisions of this article relating to substance abuse, the department head may, after prior consultation with the Borough Solicitor or his designee, direct the employee to undergo mandatory substance screening.

§ 69-87. Testing and results.
A. The taking of samples shall be performed in a medically acceptable manner and, with respect to the taking of urine samples, in the presence of a monitor of the same gender as the employee from whom the sample is taken.

B. Samples of urine or blood shall be processed and stored in accordance with accepted chain of evidence and evidence storage procedures.

C. Samples of urine or blood shall be identified by social security number and shall not contain the employee’s name.

D. Pending official action, all reports and test results shall be confidential and shall not be disclosed, without the employee’s consent, except to Borough personnel required to administer the substance abuse program, law enforcement agencies and the employee.

E. At least two samples of urine or blood shall be taken, one of which shall be made accessible to the employee or his attorney.

F. Testing of urine or blood samples for use as evidence in any disciplinary proceeding shall be performed by a reputable testing laboratory; provided, however, that testing of samples taken from law enforcement personnel shall be tested at the State Police Laboratory or such other laboratory designated by the Attorney General’s Office.

§ 69-88. Violations.

An employee shall be guilty of violating this article if such employee:

A. Fails or refuses to submit to mandatory substance screening as required by this article.

B. Has committed substance abuse as defined herein.

C. Violates any other provision of this article.

A. Any employee who witnesses another employee of the Borough of Dumont violating the provisions hereof relating to substance abuse while such employee is on duty or is present upon Borough premises shall immediately notify his immediate supervisor who, in turn, shall notify the department head of such other employee.

B. Employees shall, upon request by the Borough, prepare and sign written statements respecting their observation and knowledge of substance abuse by any other employee and shall testify concerning such observations respecting such substance abuse brought by the Borough of Dumont.

C. Any employee who willfully fails to disclose any knowledge of substance abuse by another employee pursuant to Subsection A hereof or who refuses to prepare and sign a written statement of testimony thereon shall be in violation of this article.

§ 69-90. Submitting medication information.

A. The Borough may require an employee, prior to submitting to substance screening, to complete a medical information questionnaire specifying the name of any controlled, dangerous substance, the recommended dosage, prescribing physician, and the date during the past 30 days on which the controlled dangerous substance was last taken.

B. The Borough of Dumont may require any such employee to submit a written prescription or other proof that the controlled dangerous substance has been lawfully prescribed.

§ 69-91. Additional guidelines.

The Borough Administrator may promulgate or adopt such additional guidelines and regulations not inconsistent herewith respecting the prevention and detection of substance abuse.

§ 69-92. Disciplinary action and treatment program.
An employee found to have violated the provisions of the article:

A. May be required to participate in and satisfactorily complete a substance abuse treatment program as a condition of either continued employment or re-employment.

B. May be reported to the County Prosecutor and, with respect to law enforcement officers, have his or her name included in a registry maintained by the Division of State Police.

C. Shall be subject to the institution of disciplinary proceedings, and possible dismissal hereunder.

2. There are no other changes to this Chapter of the Borough Code of the Borough of Dumont.

3. All ordinances and parts of ordinances inconsistent herewith are hereby repealed.

4. If any section, paragraph, subdivision, clause or provision of this ordinance shall be adjudged invalid, such adjudication shall apply only to that section, subdivision, clause or provision so adjudged and the remainder of this ordinance shall be deemed to be valid and effective.

5. This ordinance shall take effect immediately upon passage and publication in accordance with law.

IT IS FURTHER ORDAINED that the remainder of Section 69 of the Code of the Borough of Dumont shall remain in full force and effect.

Approved: ________________________________

Andrew LaBruno, Mayor

Attest:

Susan Connelly, RMC
Municipal Clerk

Introduced: May 5, 2020
Adopted: June 2, 2020
Borough of Dumont
Commercial Driving License (CDL) Drug and Alcohol Policy

Adopted: February 2020

The Borough of DUMONT is an Equal Opportunity Employer

Drafted by: Chasan Lamparello Mallon & Cappuzzo
Labor Counsel, Borough of Dumont
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SECTION A — GENERAL

This policy and 49 CFR Part 40 Regulations of the U. S. Department of Transportation Procedures For Transportation Workplace Drug And Alcohol Testing Programs and Urine Specimen Collection Guidelines, Office of Drug and Alcohol Policy and Compliance, U.S. Department of Transportation, are integral parts of this Policy and apply to all covered employees. They may be viewed at http://www.dot.gov/odape Collection procedures, laboratory procedures, MRO review, alcohol testing, record keeping and all other procedural requirements shall adhere to 49 CFR Part 40.

The Borough of Dumont (the “Borough”) shall test, in accordance with Federal regulations, employees required to have a Commercial Driver’s License (CDL) for the use of controlled substances that violate law or Federal regulation and the misuse of alcohol.

The Borough’s policy is modeled after a comprehensive CDL policy. To the extent that proposed language is not applicable to the Borough, those portions have been omitted.

PURPOSE 382.101

The purpose of this policy, in addition to meeting Federal regulations, is to establish a program designed to help prevent accidents and injuries resulting from the misuse of alcohol or use of controlled substances by drivers of commercial motor vehicles.

APPLICABILITY 382.103

(a) This policy applies to every person of the Borough who operates a commercial motor vehicle in commerce in any State, and is subject to:

(1) The commercial driver’s license requirements of part 383; and,

(2) All Drivers Operating Commercial Motor Vehicles for the Borough.

(b) An employer who employs himself/herself as a driver must comply with both the requirements in this policy that apply to employers and the requirements in this policy that apply to drivers. An employer who employs only himself/herself as a driver shall implement a random alcohol and controlled substances testing program of two or more covered employees in the random testing selection pool.

The COVERED EMPLOYEE CERTIFICATE OF RECEIPT contains the name, address, and phone number of the responsible individual(s). The CONTROLLED SUBSTANCES AND ALCOHOL USE AND TESTING POLICY complies with requirements of the Department of Transportation regulations as set forth in 49 CFR § 382 and 49 CFR Part 40. The DER shall be responsible for providing oversight and evaluation on the plan; providing guidance and counseling; reviewing of all discipline applied under this plan for consistency and conformance to human resources policies and procedures; scheduling for types of testing (random, post-accident, reasonable suspicion, etc.); maintaining a locked file system on all test results; and overseeing the referral of employees for evaluation and treatment. The Borough shall ensure that all covered employees are aware of the provisions and coverage of the Borough’s
CONTROLLED SUBSTANCES AND ALCOHOL USE AND TESTING POLICY and that all employees are notified prior to testing.

THE BOROUGH SERVICE AGENT CONTACT INFORMATION

DESIGNATED EMPLOYER REPRESENTATIVE (DER)

NAME: Anthony Schiraldi
TITLE: DPW Superintendent
ADDRESS: 1 Aladdin Avenue, Dumont, New Jersey 07628
PHONE: 201-387-5045
E-MAIL: aschiraldi@dumontboro.org
HOURS WHEN AVAILABLE: Monday-Friday, 8am-4pm

ALTERNATE DESIGNATED EMPLOYER REPRESENTATIVE (DER)

NAME: Christopher Tully
TITLE: Borough Administrator
ADDRESS: 80 West Madison Avenue, Dumont, New Jersey 07628
PHONE: 201-387-5060
E-MAIL: ctully@dumontboro.org
HOURS WHEN AVAILABLE: Mon-Fri, 9:00am-4:00pm; also by appointment

MEDICAL REVIEW OFFICER (MRO)

NAME: Valley Medical Group
ADDRESS: 15 Essex Road, Suite 506, Paramus, New Jersey 07652
PHONE: 201-291-6120
FAX: 201-291-6092

LABORATORY

NAME: Valley Medical Group
ADDRESS: See above.

SUBSTANCE ABUSE PROFESSIONAL (SAP)

NAME: Intervention Strategies, Inc.
ADDRESS: 351 Evelyn Street #303, Paramus, New Jersey 07652
PHONE: (201) 225-9010

CONSORTIUM/THIRD PARTY ADMINISTRATOR (C/TPA)
NAME: Summit Risk,
ADDRESS: 2 Walnut Grove Drive #210, Horsham, Pennsylvania 19044
PHONE: (215) 443-3596

THE BOROUGH'S INDEPENDENT AUTHORITY

This CONTROLLED SUBSTANCES AND ALCOHOL USE AND TESTING POLICY sets forth the requirements of 49 CFR Parts 382 and 40. Those areas of the policy that appear in italic print reflect the Borough's independent authority to require additional provisions with regard to drug and alcohol testing procedures. To the extent the Borough's state specific non-DOT the Borough Authority Policy supplements, and does not conflict with applicable DOT Regulations, and current agreements, it is to be followed. In the event that DOT Regulations are applicable to the driver's or applicant's particular situation or issue, the DOT Regulations preempt conflicting State Laws, the Borough's non-DOT Policies and all other agreements.

PERIOD OF WORKDAY A DRIVER IS REQUIRED TO BE IN COMPLIANCE

Safety-Sensitive Functions as covered under 49 CFR Part 382: In accordance with 49 CFR 382 drivers who possess CDL licenses are subject to DOT regulated alcohol and drug testing at all times from the time a driver begins to work or is required to be in readiness to work until the time he/she is relieved from work and all responsibility for performing work. Safety-sensitive functions shall include:

1. All time at an employer or shipper plant, terminal, facility, or other property, or on any public property, waiting to be dispatched, unless the driver has been relieved from duty by the employer;
2. All time inspecting equipment as required by 49 CFR 392.7 and 392.8 or otherwise inspecting, servicing, or conditioning any commercial motor vehicle at any time;
3. All time spent at the driving controls of a commercial motor vehicle in operation;
4. All time loading or unloading a vehicle, supervising, or assisting in the loading or unloading, attending a vehicle being loaded or unloaded, remaining in readiness to operate the vehicle, or in giving or receiving receipts for shipments loaded or unloaded; and
5. All time repairing, obtaining assistance, or remaining in attendance upon a disabled vehicle.

A driver is required to be in compliance with this policy during that period of the workday when they are on-duty performing safety-sensitive functions (See Definitions).

DRIVER FITNESS FOR DUTY 391.11

DOT regulations provide that the Borough as a DOT regulated employer makes the final determination of who is a qualified individual to drive a commercial motor vehicle. 49 CFR § 391.11(a). The Borough shall not permit a person to drive a commercial motor vehicle unless the person meets all DOT minimum qualifications and such other more stringent qualifications and requirements relating to safety of operation and employee safety and health as it may decide in its judgment and discretion. The Borough shall use the services of independent Certified Medical
Examiners, Occupational Medicine Physicians, Medical Review Officers, as well as other medical and industry professionals to make its final fitness for duty determinations.

TESTING PROCEDURES 382.105

The Borough shall ensure that all alcohol or controlled substances testing conducted under this policy complies with the procedures set forth in 49 CFR part 40. The provisions of 49 CFR part 40 that address alcohol or controlled substances testing are made applicable to the Borough by 382.105.

DEFINITIONS 382.107

Words or phrases used in this policy are defined in Sections 386.2, 390.5 and 40.3 of Federal regulations, except as provided herein.

Actual knowledge for the purpose of Section B of this policy means actual knowledge by the Borough that a driver has used alcohol or controlled substances based on the Borough’s direct observation of the employee, information provided by the driver’s previous employer(s), a traffic citation for driving a CMV while under the influence of alcohol or controlled substances or an employee’s admission of alcohol or controlled substance use, except as provided in 382.121. Direct observation as used in this definition means observation of alcohol or controlled substances use and does not include observation of employee behavior or physical characteristics sufficient to warrant reasonable suspicion testing under 382.307.

Alcohol means the intoxicating agent in beverage alcohol, ethyl alcohol, or other low molecular weight alcohol’s including methyl and isopropyl alcohol.

Alcohol concentration (or content) means the alcohol in a volume of breath expressed in terms of grams of alcohol per 210 liters of breath as indicated by an evidential breath test under this policy.

Alcohol use means the drinking or swallowing of any beverage, liquid mixture, or preparation, (including any medication), containing alcohol.


Commerce means:

1. Any trade, traffic or transportation within the jurisdiction of the United States between a place in a State and a place outside of such State, including a place outside of the United States and
2. Trade, traffic, and transportation in the United States which affects any trade, traffic, and transportation described in (1) of this definition.

Commercial driver’s license Drug and Alcohol Clearinghouse (Clearinghouse) means the FMCSA database that subpart G of 49 CFR Part 382.701-727 requires employers and service agents to report information to and to query regarding drivers who are subject to the DOT controlled substance and alcohol testing regulations. Effective January 6, 2020, the FMCSA will establish a mandatory database and the following personal information collected and maintained under this part shall be reported to the Clearinghouse:
(1) A verified positive, adulterated, or substituted drug test result;
(2) An alcohol confirmation test with a concentration of 0.04 or higher;
(3) A refusal to submit to any test required by subpart C of this part;
(4) An employer's report of actual knowledge, as defined at § 382.107;
(5) On-duty alcohol use pursuant to § 382.205;
(6) Pre-duty alcohol use pursuant to § 382.207;
(7) Alcohol use following an accident pursuant to § 382.209; and
(8) Controlled substance use pursuant to § 382.213;
(9) A substance abuse professional (SAP as defined in § 40.3 of this title) report of the successful completion of the return-to-duty process;
(10) A negative return-to-duty test; and
(11) An employer's report of completion of follow-up testing.

Commercial motor vehicle means a motor vehicle or combination of motor vehicles used in commerce to transport passengers or property if the vehicle:

(1) Has a gross combination weight rating or gross combination weight of 11,794 kilograms or more (26,001 pounds or more), whichever is greater, inclusive of a towed unit(s) with a gross vehicle weight rating or gross vehicle weight of more than 4,536 kilograms (10,000 pounds), whichever is greater; or
(2) Has a gross vehicle weight rating or gross vehicle weight of 11,794 or more kilograms (26,001 or more pounds), whichever is greater; or
(3) Is designed to transport 16 or more passengers, including the driver; or
(4) Is of any size and is used in the transportation of materials found to be hazardous for the purposes of the Hazardous Materials Transportation Act (49 U.S.C. 5103(b)) and which require the motor vehicle to be placarded under the Hazardous Materials Regulations (49 CFR part 172, subpart F).

Confirmation (or confirmatory) drug test means a second analytical procedure performed on a urine specimen to identify and quantify the presence of a specific drug or drug metabolite.

Confirmation (or confirmatory) validity test means a second test performed on a urine specimen to further support a validity test result.

Confirmed drug test means a confirmation test result received by an MRO from a laboratory.

Consortium/Third party administrator (C/TPA) means a service agent that provides or coordinates one or more drug and/or alcohol testing services to DOT-regulated employers. C/TPAs typically provide or coordinate the provision of a number of such services and perform administrative tasks concerning the operation of the employers' drug and alcohol testing programs. This term includes, but is not limited to, groups of employers who join together to administer, as a single entity, the DOT drug and alcohol testing programs of its members (e.g., having a combined random testing pool). C/TPAs are not "employers" for purposes of Federal regulations.

Controlled substances mean those substances identified in 40.85. As of January 1, 2018, the drugs tested for may include all or some of the following: (1) Amphetamines; (2) Cannabinoids; (3) Cocaine; (4) Phencyclidine (PCP); and (5) Opioids.
Designated employer representative (DER) is an individual identified by the Borough as able to receive communications and test results from service agents and who is authorized to take immediate actions to remove employees from safety-sensitive duties and to make required decisions in the testing and evaluation processes. The individual must be an employee of the Borough. Service agents cannot serve as DERs.

Disabling damage means damage which precludes departure of a motor vehicle from the scene of the accident in its usual manner in daylight after simple repairs.

(1) Inclusions. Damage to motor vehicles that could have been driven, but would have been further damaged if so driven.

(2) Exclusions:
   i. Damage which can be remedied temporarily at the scene of the accident without special tools or parts.
   ii. Tire disablement without other damage even if no spare tire is available.
   iii. Headlight or taillight damage.
   iv. Damage to turn signals, horn, or windshield wipers which make them inoperative.

DOT Agency means an agency (or "operating administration") of the United States Department of Transportation administering regulations requiring alcohol and/or drug testing (14 CFR parts 61, 63, 65, 121, and 135; 49 CFR parts 199, 219, 382, 653, and 654) in accordance with 49 CFR part 40.

Driver means any person who operates a commercial motor vehicle. This includes, but is not limited to: Full time, regularly employed Commercial Motor Vehicle drivers; casual, intermittent or occasional drivers; leased drivers and independent owner-operator contractors.

Employer means an entity, including a municipal employer, employing one or more employees (including an individual who is self-employed) that is subject to DOT agency regulations requiring compliance with this Federal regulation. The term, as used in this policy, refers to the entity responsible for overall implementation of DOT drug and alcohol program requirements, including individuals employed by the entity who take personnel actions resulting from violations of this policy and any applicable DOT agency regulations. Service agents are not employers for the purpose of Federal regulations.

Licensed medical practitioner means a person who is licensed, certified, and/or registered, in accordance with applicable Federal, State, local, or foreign laws and regulations, to prescribe controlled substances and other drugs.

Negative return-to-duty test means a return-to-duty test with a negative drug result and/or an alcohol test with an alcohol concentration of less than 0.02, as described in § 40.305.

Performing (a safety-sensitive function) means a driver is considered to be performing a safety-sensitive function during any period in which he/she is actually performing, ready to perform, or immediately available to perform any safety-sensitive functions.
Positive alcohol test means an alcohol test with an alcohol concentration of greater than or equal to 0.04.

Positive rate for random drug testing means the number of verified positive results for random drug tests conducted under this part plus the number of refusals of random drug tests required by this part, divided by the total number of random drug tests results (i.e., positives, negatives, and refusals) under this part.

Refuse to submit (to an alcohol or controlled substances test) means that you as a driver:

(a) (1) Fail to appear for any test (except a pre-employment test) within a reasonable time, as determined by the employer, consistent with applicable DOT agency regulations, after being directed to do so by the employer. This includes the failure of an employee (including an owner-operator) to appear for a test when called by C/TPA (see §40.61(a));

(2) Fail to remain at the testing site until the testing process is complete. Provided that an employee who leaves the testing site before the testing process commences (see §40.63(c)) for a pre-employment test is not deemed to have refused to test;

(3) Fail to provide a urine specimen for any drug test required by this part or DOT agency regulations; Provided that an employee who does not provide a urine specimen because he or she has left the testing site before the testing process commences (see §40.63(c)) for a pre-employment test is not deemed to have refused to test;

(4) In the case of a directly observed or monitored collection in a drug test, fail to permit the observation or monitoring of your provision of a specimen (see §§40.67(l) and 40.69(g));

(5) Fail to provide a sufficient amount of urine when directed, and it has been determined, through a required medical evaluation, that there was no adequate medical explanation for the failure (see §40.193(d)(2));

(6) Fail or decline to take an additional drug test the employer or collector has directed you to take (see, for instance, Sec. 40.197(b));

(7) Fail to undergo a medical examination or evaluation, as directed by the MRO as part of the verification process, or as directed by the DER under Sec. 40.193(d). In the case of a pre-employment drug test, the employee is deemed to have refused to test on this basis only if the pre-employment test is conducted following a contingent offer of employment. If there was no contingent offer of employment, the MRO will cancel the test; or

(8) Fail to cooperate with any part of the testing process (e.g., refuse to empty pockets when directed by the collector, behave in a confrontational way that disrupts the collection process, fail to wash hands after being directed to do so by the collector).

(9) For an observed collection, fail to follow the observer's instructions to raise your clothing above the waist, lower clothing and underpants, and to turn around to permit the observer to determine if you have any type of prosthetic or other device that could be used to interfere with the collection process.
(10) Possess or wear a prosthetic or other device that could be used to interfere with the collection process.
(11) Admit to the collector or MRO that you adulterated or substituted the specimen.

(12) For a breath alcohol test, refusing to sign the certification at Step 2 of the ATF 40.261 (a) (3).

(b) As an employee, if the MRO reports that you have a verified adulterated or substituted test result, you have refused to take a drug test.

(c) As an employee, if you refuse to take a drug test, you incur the consequences specified under DOT agency regulations for a violation of those DOT agency regulations. 40.191

Safety-sensitive function means all time from the time a driver begins to work or is required to be in readiness to work until the time he/she is relieved from work and all responsibility for performing work. Safety-sensitive functions shall include:

(1) All time at an employer facility, or other property, or on any public property, waiting to be dispatched, unless the driver has been relieved from duty by the employer;

(2) All time inspecting servicing, or conditioning any commercial motor vehicle at any time;

(3) All time spent at the driving controls of a commercial motor vehicle in operation;

(4) All time loading or unloading a vehicle, supervising, or assisting in the loading or unloading, attending a vehicle being loaded or unloaded, using a vehicle for road clearing, snow removal, trash and recycling removal, remaining in readiness to operate the vehicle, and

(5) All time repairing, obtaining assistance, or remaining in attendance upon a disabled vehicle.

Screening test (or initial test) means:

(1) In drug testing, a test to eliminate “negative” urine specimens from further analysis or to identify a specimen that requires additional testing for the presence of drugs.

(2) In alcohol testing, an analytical procedure to determine whether an employee may have a prohibited concentration of alcohol in a breath or saliva specimen.

Service agent means any person or entity, other than an employee of the employer, who provides services to employers and/or employees in connection with DOT drug and alcohol testing requirements. This includes, but is not limited to, collectors, BATs and STTs, laboratories, MROs, substance abuse professionals, and C/TPAs. To act as service agents, persons and organizations must meet DOT qualifications, if applicable. Service agents are not employers for purposes of this part.

Stand-down means the practice of temporarily removing an employee from the performance of safety-sensitive functions based only on a report from a laboratory to the MRO of a confirmed
positive test for a drug or drug metabolite, an adulterated test, or a substituted test, before the 
MRO has completed verification of the test results.

Violation rate for random alcohol testing means the number of 0.04 and above random alcohol 
confirmation test results conducted under this part plus the number of refusals of random alcohol 
tests required by this part, divided by the total number of random alcohol screening tests 
(including refusals) conducted under this part.

PREEMPTION OF STATE AND LOCAL LAWS 382.109

(a) Except as provided in paragraph (b) of this section, the Federal regulation requiring this 
alcohol and controlled substances testing preempts any State or local law, rule, 
regulation, order to the extent that:

(1) Compliance with both the State or local requirement and the Federal regulation is 
not possible; or

(2) Compliance with the State or local requirement is an obstacle to the 
accomplishment and execution of any requirement of this Federal regulation.

(b) This policy, and the Federal regulation requiring it, shall not be construed to preempt 
provisions of State criminal law that impose sanctions for reckless conduct leading to 
actual loss of life, injury, or damage to property, whether the provisions apply 
specifically to transportation employees, the Borough, or the general public.

OTHER REQUIREMENTS IMPOSED BY THE BOROUGH 382.111

Except as expressly provided in this policy, nothing in the Federal regulation 382 and 49 CFR 
part 40 shall be construed to affect the authority of the Borough, or the rights of drivers, with 
respect to the use of alcohol, or the use of controlled substances, including authority and rights 
with respect to testing and rehabilitation. Accordingly, the Borough has adopted, under its own 
authority, a Non-DOT drug and alcohol testing program.

REQUIREMENT FOR NOTICE 382.113

Before performing an alcohol or controlled substances test under the Federal regulation, the 
Borough shall notify a driver that the alcohol or controlled substances test is required by Federal 
regulation. The Borough shall not falsely represent that a test is administered under Federal 
regulation.

STARTING DATE FOR TESTING PROGRAMS 382.115

All domestic-domiciled employers must implement the requirements of this policy the date 
the employer begins commercial motor vehicle operations.

PUBLIC INTEREST EXCLUSION 382.117

The Borough shall not use the services of a service agent who is subject to a public interest 
exclusion (PIE) in accordance with 49 CFR part 40, Subpart R.
EMPLOYEE ADMISSION OF ALCOHOL AND CONTROLLED SUBSTANCE USE
382.J21

(a) Employees who admit to alcohol misuse or controlled substances use are not subject to the referral, evaluation and treatment requirements of this policy and 49 CFR part 40, provided that:

(1) The admission is in accordance with the Borough's written voluntary self-identification program or policy that meets the requirements of paragraph (b) of this section;

(2) The driver does not self-identify in order to avoid testing under the requirements of this part;

(3) The driver makes the admission of alcohol misuse or controlled substances use prior to performing a safety sensitive function (i.e., prior to reporting for duty); and

(4) The driver does not perform a safety sensitive function until the Borough is satisfied that the employee has been evaluated and has successfully completed education or treatment requirements in accordance with the self-identification program guidelines.

(b) A qualified voluntary self-identification program or policy must contain the following elements:

(1) It prohibits the Borough from taking adverse action against an employee making a voluntary admission of alcohol misuse or controlled substances use within the parameters of the program or policy and paragraph (a) of this section;

(2) It must allow the employee sufficient opportunity to seek evaluation, education or treatment to establish control over the employee's drug or alcohol problem;

(3) It must permit the employee to return to safety sensitive duties only upon successful completion of an educational or treatment program, as determined by a drug and alcohol abuse evaluation expert, i.e., employee assistance professional, substance abuse professional, or qualified drug and alcohol counselor;

(4) It must ensure that:
(i) Prior to the employee participating in a safety sensitive function, the employee shall undergo a return to duty test with a result indicating an alcohol concentration of less than 0.02; and/or

(ii) Prior to the employee participating in a safety sensitive function, the employee shall undergo a return to duty controlled substance test with a verified negative test result for controlled substances use; and

(5) It may incorporate employee monitoring and include non-DOT follow-up testing.
DRIVER IDENTIFICATION 382.123

(a) For each alcohol test performed, the Borough shall provide the driver’s commercial driver’s license number and State of issuance in Step 1, Section B of the Alcohol Testing Form (ATF).

(b) For each controlled substance test performed under this part, the Borough shall provide the following information, which must be recorded as follows:

   (i) The driver’s commercial driver’s license number and State of issuance in Step 1, section C of the Federal Drug Testing Custody and Control Form (CCP).

   (ii) The employer’s name and other identifying information required in Step 1, section A of the ATF.

EMPLOYEE ASSISTANCE PROGRAM

The Borough’s employee assistance program (EAP) is a confidential program designed to assist in the identification and resolution of problems associated with employees impaired by alcohol or drugs, or other personal concerns that may adversely affect employee job performance.
SECTION B - PROHIBITIONS

ALCOHOL CONCENTRATION 382.201

No driver shall report for duty or remain on duty requiring the performance of safety-sensitive functions while having an alcohol concentration of 0.04 or greater. If the Borough has actual knowledge that a driver has an alcohol concentration of 0.04 or greater, the driver will not be permitted to perform or continue to perform safety-sensitive functions.

ON-DUTY USE 382.205

No driver shall use alcohol while performing safety-sensitive functions. If the Borough has actual knowledge that a driver is using alcohol while performing safety-sensitive functions, that driver shall not be permitted to perform or continue to perform safety-sensitive functions.

PRE-DUTY USE 382.207

No driver shall perform safety-sensitive functions within four (4) hours after using alcohol. If the Borough has actual knowledge of a driver who has used alcohol within four (4) hours, that driver will not be permitted to perform or continue to perform safety-sensitive functions.

USE FOLLOWING AN ACCIDENT 382.209

No driver required to take a post-accident alcohol test under 382.303 shall use alcohol for eight (8) hours following the accident or until he/she undergoes a post-accident alcohol test, whichever occurs first.

REFUSAL TO SUBMIT TO A REQUIRED ALCOHOL OR CONTROLLED SUBSTANCES TEST 382.211

No driver shall refuse to submit to a post-accident alcohol or controlled substances test required under 382.303, a random alcohol or controlled substances test required under 382.305, a reasonable suspicion alcohol or controlled substances test required under 382.307, or a follow-up alcohol or controlled substances test required under 382.311. The Borough shall not permit a driver who refuses to submit to such tests to perform or continue to perform safety-sensitive functions.

DISCLOSURE OF OFF-DUTY DUI AND DRUG OFFENSE ARREST AN/OR CONViction 382.111

Safety Rule requiring mandatory reporting by Drivers of off-duty DUI and Drug Offense Arrest and/or Conviction. In accordance with the authority granted to the Borough by the DOT in 49 CFR 382.111 to imposed other requirements to prevent alcohol misuse by Drivers, it is mandatory that Drivers disclose to their supervisor by the end of the business day arrest and/or convictions for all alcohol and/or drug related offenses committed while operating any motor vehicle. This will allow the Borough to immediately remove from safety sensitive functions, Drivers who have engaged in off-duty unsafe behavior related to alcohol or drug misuse (which is directly related to their safety sensitive functions performed for the Borough) to make determinations as follows: 1) if the Driver is fit for duty; 2) if the Driver is still qualified under DOT regulations to operate a CMV for the Borough; 3) if the Driver is still insurable at standard
rates under the Borough fleet policy; and 4) if the Driver can still meet the essential job functions for the position of Driver. It is an Essential Job Function of every DOT regulated Driver that they be qualified and licensed to operate a CMV without the use of a judicially ordered interlocking device, or similar device as part of a diversion or conviction for an alcohol related offence.

PRE-DUTY DISCLOSURE OF ANY IMPAIRING EFFECT MEDICATION OR SUBSTANCES 382.213

(a) No driver shall report for duty or remain on duty requiring the performance of safety-sensitive functions when the driver uses any controlled substance, except when the use is pursuant to the instructions of a licensed medical practitioner, as defined in 382.107, who has advised the driver that the substance will not adversely affect the driver's ability to safely operate a commercial motor vehicle.

(b) The Borough, having actual knowledge that a driver has used a controlled substance, shall not permit the driver to perform or continue to perform a safety-sensitive function.

(c) The Borough may require a driver to inform the Borough of any therapeutic drug use.

All drivers of the Borough are required, as a safety rule and under DOT regulations, to pre-duty disclosure that they are taking ANY impairing affect therapeutic drug, prescription medication (including medical marijuana), over-the-counter medication, mind altering synthetic or designer drugs or substances which may have an effect on their ability to safely operate a commercial motor vehicle or the performance of safety-sensitive duties. It is an essential function of every driver's position at the Borough to be able to work in a constant state of alertness and in a safe manner. If the fact that the driver is taking an impairing effect drug, medication or substance is not disclosed pre-duty by a driver, and the driver tests positive or is determined by the MRO to be a potential safety risk due to a drug, medication or substance, that driver will be subject to discipline, up to and including termination for violation of this safety rule. If disclosure is made, the Borough, in accordance with its authority under 49 CFR Part 391.11(a), reserves the right to send the driver for a Fitness-for-Duty evaluation to evaluate the medication and its possible adverse effects on the driver's ability to safely operate a commercial motor vehicle or the performance of other safety-sensitive duties. In determining whether the employee has a legally valid prescription so as to constitute a legitimate medical explanation, consistent with the Controlled Substances Act (CSA), the MRO will use the CSA standard when conducting his medical review (49 CFR Part 40.137).
In advance of the operation of a commercial motor vehicle, or the performance of other safety-sensitive duties, or testing, drivers are strongly encouraged (and mandated by DOT Regulations) to have their own doctor make an individualized assessment of any safety related risks of the drug, medication or substance which they are taking, providing the doctor a copy of their job description or specific duties, and having the doctor render an opinion on the safety related risks. The driver need not disclose to their supervisor the drug, medication or substance, or the medical condition involved, to fulfill this pre-duty disclosure obligation of this safety policy, but may do so confidentially to the DER. All information provided will be kept separate from personnel files and in a confidential manner by the DER. The MRO will make the final determination on the driver's ability to safely operate a commercial motor vehicle or the safety related risks of any particular drug, medication or substance, although the Borough shall make the final determination on whether the driver is qualified to drive/operate a commercial motor vehicle.

CONTROLLED SUBSTANCES TESTING 382.215

No driver shall report for duty, remain on duty or perform a safety-sensitive function, if the driver tests positive or has adulterated or substituted a test specimen for controlled substances. The Borough, having actual knowledge that a driver has tested positive or has adulterated or substituted a test specimen for controlled substances, shall not permit the driver to perform or continue to perform safety-sensitive functions. In accordance with 49 CFR Part 40.171, when the MRO has notified the driver that he or she has a verified positive drug test and/or refusal to test because of adulteration or substitution, the driver has 72 hours from the time of notification to request a test of the split specimen.

EMPLOYER RESPONSIBILITIES 382.217

No employer may allow, require, permit or authorize a driver to operate a commercial motor vehicle during any period in which an employer determines that a driver is not in compliance with the return-to-duty requirements in 49 CFR part 40, subpart O, after the occurrence of any of the following events:

(a) The driver receives a positive, adulterated, or substituted drug test result conducted under part 40;
(b) The driver receives an alcohol confirmation test result of 0.04 or higher alcohol concentration conducted under part 40;
(c) The driver refused to submit to a test for drugs or alcohol required under § 382; or
(d) The driver used alcohol prior to a post-accident alcohol test in violation of § 382.209.

CONSEQUENCES OF CONDUCT PROHIBITED BY SECTION B Any driver who engages in conduct prohibited by Section B of this policy will be subject to disciplinary action up to and including termination.
SECTION C - TESTS REQUIRED

TESTS REQUIRED

Required testing includes pre-employment (controlled substances required, alcohol at option of the Borough), post-accident, random, and reasonable suspicion. Return-to-duty and follow-up-testing is also required if the Borough allows a "positive" test employee to return to a safety-sensitive function after the required evaluation by a Substance Abuse Professional and the required rehabilitation.

THE BOROUGH RESERVES RIGHT TO CONDUCT NON-DOT DRUG AND ALCOHOL TESTING

In addition to drug and alcohol testing conducted by the Borough pursuant to 49 CFR Part 40 and 49 CFR Part 382, the Borough reserves the independent authority to screen and/or test employees under the Borough's Policy including, but not limited to, laboratory testing and point of collection test (POCT) devices utilizing alternative body specimens including hair, urine and oral fluid (saliva), for the detection of illegal drugs, prescription and over-the-counter medications or substances which have an impairing affect and/or alcohol, taken by those who are considered safety-sensitive employees, as may be permitted and/or restricted by applicable state or local laws or regulations and applicable collective bargaining agreements. The term "illegal use of drugs" includes any mind altering synthetic or designer drugs as well as any controlled or scheduled substance not used in accordance with a health care provider's lawful prescription for the user. These collections will be performed in addition to, and not as a substitute for, DOT regulated tests and these urine specimens will not be poured from or taken from the same specimen collected for a DOT urine test or alcohol test [40.13] and will not be conducted using DOT forms [40.47, 40.227]. This may also include a "zero tolerance" policy for the use of drugs or alcohol.

PRE-EMPLOYMENT 382.301

(a) Prior to the first time a driver performs safety-sensitive functions for the Borough, the driver shall undergo testing for controlled substances as a condition prior to being used, unless the Borough uses the exception in paragraph (b) of this section. The Borough shall not allow a driver, who the Borough intends to hire or use, to perform safety-sensitive functions unless the Borough has received a controlled substances test result from the MRO or C/TPA indicating a verified negative test result for that driver. The Borough shall require a re-collection of a urine specimen on any pre-employment, return-to-duty and follow-up drug test if the result is negative-dilute. The MRO has authority to direct the re-collection be observed. If the second test result is also negative-dilute, the Borough shall accept the result as a negative test.

(b) The Borough is not required to administer a controlled substances test required by paragraph (a) of this section if:

(1) The driver has participated in a controlled substances testing program that meets the requirements of this policy within the previous 30 days; and

(2) While participating in that program, either--
(i) Was tested for controlled substances within the past 6 months (from the date of application with the Borough), or

(ii) Participated in the random controlled substances testing program for the previous 12 months (from the date of application with the Borough); and

(3) The Borough ensures that no prior employer of the driver of whom the Borough has knowledge has records of a violation of this policy or the controlled substances use rule of another DOT agency within the previous six months.

(c) (1) If the Borough exercises the exception in paragraph (b) of this section, the Borough shall contact the controlled substances testing program(s) in which the driver participates or participated and shall obtain and retain from the testing program(s) the following information:

(i) Name(s) and address(es) of the program(s).

(ii) Verification that the driver participates or participated in the program(s).

(iii) Verification that the program(s) conforms to part 40 of Federal regulations.

(iv) Verification that the driver is qualified under the rules of this policy, including that the driver has not refused to be tested for controlled substances.

(v) The date the driver was last tested for controlled substances.

(vi) The results of any tests taken within the previous six months and any other violations of Section B of this policy.

(2) If the Borough who uses, but does not employ a driver more than once a year to operate commercial motor vehicles must obtain the information in paragraph (c)(1) of this section at least once every six months. The records prepared under this paragraph shall be maintained in accordance with 382.401. If the Borough cannot verify that the driver is participating in a controlled substances testing program in accordance with this policy and part 40 of Federal regulations, the Borough shall conduct a pre-employment-controlled substances test.

(d) The Borough may, but is not required to, conduct pre-employment alcohol testing under this policy. If the Borough chooses to conduct pre-employment alcohol testing, it must comply with the following requirements:

(1) It must conduct a pre-employment alcohol test before the first performance of safety-sensitive functions by every covered employee (whether a new employee or someone who has transferred to a position involving the performance of safety-sensitive functions).

(2) It must treat all safety-sensitive employees performing safety-sensitive functions the same for the purpose of pre-employment alcohol testing (i.e., it must not test some covered employees and not others).

(3) It must conduct the pre-employment tests after making a contingent offer of employment or transfer, subject to the employee passing the pre-employment alcohol test.

(4) It must conduct all pre-employment alcohol tests using the alcohol testing procedures of 49 CFR part 40 of Federal regulation.
(5) It must not allow a covered employee to begin performing safety-sensitive functions unless the result of the employee's test indicates an alcohol concentration of less than 0.04.

POST-ACCIDENT 382.303

(a) As soon as practicable following an occurrence involving a commercial motor vehicle operating on a public road in commerce, the Borough shall test for alcohol for each of its surviving drivers:

(1) Who was performing safety-sensitive functions with respect to the vehicle, if the accident involved the loss of human life; or

(2) Who receives a citation within 8 hours of the occurrence under State or local law for a moving traffic violation arising from the accident, if the accident involved:

   (i) Bodily injury to any person who, as a result of the injury, immediately receives medical treatment away from the scene of the accident; or

   (ii) One or more motor vehicles incurring disabling damage as a result of the accident, requiring the motor vehicle to be transported away from the scene by a tow truck or other motor vehicle.

(b) As soon as practicable following an occurrence involving a commercial motor vehicle operating on a public road in commerce, the Borough shall test for controlled substances for each of its surviving drivers:

(1) Who was performing safety-sensitive functions with respect to the vehicle, if the accident involved the loss of human life; or

(2) Who receives a citation within thirty-two hours of the occurrence under State or local law for a moving traffic violation arising from the accident, if the accident involved:

   (i) Bodily injury to any person who, as a result of the injury, immediately receives medical treatment away from the scene of the accident; or

   (ii) One or more motor vehicles incurring disabling damage as a result of the accident, requiring the motor vehicle to be transported away from the scene by a tow truck or other motor vehicle.
(c) The following table notes when a post-accident test is required to be conducted by paragraphs (a)(1), (a)(2), (b)(1), and (b)(2) of this section:

<table>
<thead>
<tr>
<th>Type of accident involved</th>
<th>Citation issued to</th>
<th>Test must be performed</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>the CMV driver</td>
<td>by the Borough</td>
</tr>
<tr>
<td>Human fatality</td>
<td>YES</td>
<td>YES</td>
</tr>
<tr>
<td></td>
<td>NO</td>
<td>YES</td>
</tr>
<tr>
<td>Bodily injury with immediate medical treatment away from the scene</td>
<td>YES</td>
<td>YES</td>
</tr>
<tr>
<td></td>
<td>NO</td>
<td>NO</td>
</tr>
<tr>
<td>Disabling damage to any motor vehicle requiring tow away</td>
<td>YES</td>
<td>YES</td>
</tr>
<tr>
<td></td>
<td>NO</td>
<td>NO</td>
</tr>
</tbody>
</table>

(d) (1) Alcohol tests. If a test required by this section is not administered within two hours following the accident, the Borough shall prepare and maintain on file a record stating the reasons the test was not promptly administered. If a test required by this section is not administered within eight hours following the accident, the Borough shall cease attempts to administer an alcohol test and shall prepare and maintain the same record. Records shall be submitted to the FMCSA upon request.

(2) Controlled substance tests. If a test required by this section is not administered within 32 hours following the accident, the Borough shall cease attempts to administer a controlled substances test, and prepare and maintain on file a record stating the reasons the test was not promptly administered. Records shall be submitted to the FMCSA upon request.

(e) A driver who is subject to post-accident testing shall remain readily available for such testing or may be deemed by the Borough to have refused to submit to testing. Nothing in this section shall be construed to require the delay of necessary medical attention for injured people following an accident or to prohibit a driver from leaving the scene of an accident for the period necessary to obtain assistance in responding to the accident, or to obtain necessary emergency medical care.

(f) The Borough shall provide drivers with necessary post-accident information, procedures and instructions, prior to the driver operating a commercial motor vehicle, so that drivers will be able to comply with the requirements of this section.

(g) (1) The results of a breath or blood test for the use of alcohol, conducted by Federal, State, or local officials having independent authority for the test, shall be considered to meet the requirements of this section, provided such tests conform to the applicable Federal, State or
local alcohol testing requirements, and that the results of the tests are obtained by the Borough.

(2) The results of a urine test for the use of controlled substances, conducted by Federal, State, or local officials having independent authority for the test, shall be considered to meet the requirements of this section, provided such tests conform to the applicable Federal, State or local controlled substances testing requirements, and that the results of the tests are obtained by the Borough.

(h) Exception. This section does not apply to:

(1) An occurrence involving only boarding or alighting from a stationary motor vehicle; or
(2) An occurrence involving only the loading or unloading of cargo; or
(3) An occurrence in the course of the operation of a passenger car or a multipurpose passenger vehicle (as defined in 571.3) by the Borough unless the motor vehicle is transporting passengers for hire or hazardous materials of a type and quantity that require the motor vehicle to be marked or placarded in accordance with 177.823.

RANDOM 382.305

(a) The Borough shall comply with the requirements of this section. Every driver shall submit to random alcohol and controlled substance testing as required in this section.

(b) (1) Except as provided in paragraphs (c) through (e) of this section, the minimum annual percentage rate for random alcohol testing shall be 10 percent of the average number of driver positions.

(2) Except as provided in paragraphs (f) through (h) of this section, the minimum annual percentage rate for random controlled substances testing shall be 25 percent of the average number of driver positions.

(i) (1) The selection of drivers for random alcohol and controlled substances testing shall be made by a scientifically valid method, such as a random number table or a computer-based random number generator that is matched with drivers' Social Security numbers, payroll identification numbers, or other comparable identifying numbers.

(2) Each driver selected for random alcohol and controlled substances testing under the selection process used, shall have an equal chance of being tested each time selections are made.

(3) Each driver selected for testing shall be tested during the selection period.

(j) (1) To calculate the total number of covered drivers eligible for random testing throughout the year, the Borough, must add the total number of covered drivers eligible for testing during each random testing period for the year and divide that total by the number of random testing periods. Covered employees, and only covered employees, are to be in the Borough’s random testing pool, and all covered drivers must be in the random pool. If the Borough conducts random testing more often than once per month (e.g., daily, weekly, bi-weekly) the Borough does not need to compute this total number of covered drivers’ rate more than on a once per month basis.
(2) The Borough may use a service agent (e.g., a C/TPA) to perform random selections and covered drivers may be part of a larger random testing pool of covered employees. However, the Borough must ensure that the service agent is testing at the appropriate percentage established for FMCSA and that only covered employees are in the random testing pool.

(k) (1) The Borough shall ensure that random alcohol and controlled substances tests conducted under this policy are unannounced.

(2) The Borough shall ensure that the dates for administering random alcohol and controlled substances tests are spread reasonably throughout the calendar year.

(l) The Borough shall require that each driver who is notified of selection for random alcohol and/or controlled substances testing proceeds to the test site immediately; provided, however, that if the driver is performing a safety-sensitive function, other than driving a commercial motor vehicle, at the time of notification, the Borough shall instead ensure that the driver ceases to perform the safety-sensitive function and proceeds to the testing site as soon as possible.

(m) A driver shall only be tested for alcohol while the driver is performing safety-sensitive functions, just before the driver is to perform safety-sensitive functions, or just after the driver has ceased performing such functions.

(n) If a given driver is subject to random alcohol or controlled substances testing under the random alcohol or controlled substances testing rules of more than one DOT agency for the Borough, the driver shall be subject to random alcohol and/or controlled substances testing at the annual percentage rate established for the calendar year by the DOT agency regulating more than 50 percent of the driver's function.

(o) If the Borough is required to conduct random alcohol or controlled substances testing under the alcohol or controlled substances testing rules of more than one DOT agency, the Borough may—

(1) Establish separate pools for random selection, with each pool containing the DOT-covered employees who are subject to testing at the same required minimum annual percentage rate; or

(2) Randomly select such employees for testing at the highest minimum annual percentage rate established for the calendar year by any DOT agency to which the Borough is subject.

REASONABLE SUSPICION 382.307

(a) The Borough shall require a driver to submit to an alcohol test when the Borough has reasonable suspicion to believe that the driver has violated the prohibitions of Section B of this policy concerning alcohol. The Borough’s determination that reasonable suspicion exists to require the driver to undergo an alcohol test must be based on specific, contemporaneous, articulate observations concerning the appearance, behavior, speech or body odors of the driver.

(b) The Borough shall require a driver to submit to a controlled substances test when there is reasonable suspicion to believe that the driver has violated the prohibitions of Section B of this policy concerning controlled substances. The Borough’s determination that reasonable suspicion exists to require the driver to undergo a controlled substances test must be based on specific, contemporaneous, articulate observations concerning the appearance, behavior, speech or body...
odors of the driver. The observations may include indications of the chronic and withdrawal effects of controlled substances.

(c) The required observations for alcohol and/or controlled substances reasonable suspicion testing shall be made by a supervisor or an official of the Borough who is trained in accordance with 382.603. The person who makes the determination that reasonable suspicion exists to conduct an alcohol test shall not conduct the alcohol test of the driver. If the employee insists on driving, the proper local Police authority should be notified that an employee, who we believe may be under the influence of a drug or alcohol, is leaving the Borough premises driving a motor vehicle.

(d) Alcohol testing is authorized by DOT/FMCSA regulations only if the observations required by paragraph (a) of this section are made during, just preceding, or just after the period of the work day that the driver is required to be in compliance with the Federal regulation. A driver may be directed by the Borough to only undergo reasonable suspicion alcohol testing while the driver is performing safety-sensitive functions, just before the driver is to perform safety-sensitive functions, or just after the driver has ceased performing such functions.

(e) (1) If an alcohol test required by DOT/FMCSA regulations is not administered within two (2) hours following the determination under paragraph (a) of this section, the Borough shall prepare and maintain on file a record stating the reasons the alcohol test was not promptly administered. If an alcohol test required by DOT/FMCSA regulations is not administered within eight (8) hours following the determination under paragraph (a) of this section, the Borough shall cease attempts to administer an alcohol test and shall state in the record the reasons for not administering the test.

(2) Notwithstanding the absence of a reasonable suspicion alcohol test under DOT/FMCSA regulations, no driver shall report for duty or remain on duty requiring the performance of safety-sensitive functions while the driver is under the influence of or impaired by alcohol, as shown by the behavioral, speech, and performance indicators of alcohol misuse, nor shall the Borough permit the driver to perform or continue to perform safety-sensitive functions, until:

(i) An alcohol test is administered and the driver's alcohol concentration measures less than 0.02; or

(ii) Twenty four (24) hours have elapsed following the determination under paragraph (a) of this section that there is reasonable suspicion to believe that the driver has violated the prohibitions in this policy concerning the use of alcohol.

(3) Except as provided in paragraph (e)(2) of this section, the Borough shall take no action under this policy against a driver based solely on the driver's behavior and appearance, with respect to alcohol use, in the absence of an alcohol test. This does not prohibit the Borough with independent authority of DOT/FMCSA regulations from taking any action otherwise consistent with law.
(f) A written record shall be made of the observations leading to an alcohol or controlled substances reasonable suspicion test, and signed by the supervisor or an official of the Borough who made the observations, with 24 hours of the observed behavior or before the results of the alcohol or controlled substances tests are released, whichever is earlier.

RETURN-TO-DUTY 382.309

The requirements for return-to-duty testing must be performed in accordance with 49 CFR part 40, Subpart O, including that such tests will be collected under direct observation.

FOLLOW-UP 382.311

The requirements for follow-up testing must be performed in accordance with 49 CFR part 40, Subpart O, including that such tests will be collected under direct observation.
SECTION D - HANDLING OF TEST RESULTS, RECORD RETENTION AND CONFIDENTIALITY

RETENTION OF RECORDS 382.401

(a) General requirement. The Borough shall maintain records of its alcohol misuse and controlled substances use prevention programs as provided in this section. The records shall be maintained in a secure location with controlled access.

(b) Period of retention. The Borough shall maintain the records in accordance with the following schedule:

1. **Five years.** The following records shall be maintained for a minimum of five years:
   
   i. Records of driver alcohol test results indicating an alcohol concentration of 0.02 or greater;
   
   ii. Records of driver verified positive controlled substances test results;
   
   iii. Documentation of refusals to take required alcohol and/or controlled substances tests;
   
   iv. Driver evaluation and referrals;
   
   v. Calibration documentation;
   
   vi. Records related to the administration of the alcohol and controlled substances testing programs;
   
   vii. Records related to the administration of the alcohol and controlled substances testing program, including records of all driver violations, and
   
   viii. A copy of each annual calendar year summary required by 382.403.

2. **Two years.** Records related to the alcohol and controlled substances collection process (except calibration of evidential breath testing devices).

3. **One year.** Records of negative and canceled controlled substances test results (as defined in part 40 of Federal regulations) and alcohol test results with a concentration of less than 0.02 shall be maintained for a minimum of one year.

4. **Indefinite period.** Records related to the education and training of breath alcohol technicians, screening test technicians, supervisors, and drivers shall be maintained by the Borough while the individual performs the functions which require the training and for two years after ceasing to perform those functions.
(c) Types of records. The following specific records shall be maintained. "Documents generated" are documents that may have to be prepared under a requirement of Federal regulations and this policy. If the record is required to be prepared, it must be maintained.

(1) Records related to the collection process:
   (i) Collection logbooks, if used,
   (ii) Documents relating to the random selection process,
   (iii) Calibration documentation for evidential breath testing devices,
   (iv) Documentation of breath alcohol technician training,
   (v) Documents generated in connection with decisions to administer reasonable suspicion alcohol or controlled substances tests,
   (vi) Documents generated in connection with decisions on post-accident tests,
   (vii) Documents verifying existence of a medical explanation of the inability of a driver to provide adequate breath or to provide a urine specimen for testing and
   (viii) A copy of each annual calendar year summary as required by 382.403.

(2) Records related to a driver's test results:
   (i) The Borough's copy of the alcohol test form, including the results of the test,
   (ii) The Borough's copy of the controlled substances test chain of custody and control form,
   (iii) Documents sent by the MRO to the Borough, including those required by part 40, Subpart C,
   (iv) Documents related to the refusal of any driver to submit to an alcohol or controlled substances test required by this policy and
   (v) Documents presented by a driver to dispute the result of an alcohol or controlled substances test administered under this policy.
   (vi) Documents generated in connection with verifications of prior employers' alcohol or controlled substances test results that the Borough:
      (A) Must obtain in connection with the exception contained in 382.301 of this policy, and
      (B) Must obtain as required by 382.413.

(3) Records related to other violations of this policy.

(4) Records related to evaluations:
   (i) Records pertaining to a determination by a substance abuse professional concerning a driver's need for assistance and
(ii) Records concerning a driver’s compliance with recommendations of the substance abuse professional.

(5) Records related to education and training:

(i) Materials on alcohol misuse and controlled substances use awareness, including a copy of the Borough’s policy on alcohol misuse and controlled substances use,

(ii) Documentation of compliance with requirements of 382.601, including the driver’s signed receipt of education materials,

(iii) Documentation of training provided to supervisors for the purpose of qualifying the supervisors to make a determination concerning the need for alcohol and/or controlled substances testing based on reasonable suspicion;

(iv) Documentation of training for breath alcohol technicians as required by 40.213(a), and

(v) Certification that any training conducted under these Federal Regulations complies with requirements for such training.

(6) Administrative records related to alcohol and controlled substances testing:

(i) Agreements with collection site facilities, laboratories, breath alcohol technicians, screening test technicians, medical review officers, and consortia and/or with a C/TPA,

(ii) Names and positions of officials and their role in the Borough’s alcohol and controlled substances testing program(s),

(iii) Semi-annual laboratory statistical summaries of urinalysis required by 40.111 (a) of Federal regulations and

(iv) The Borough’s alcohol and controlled substances testing policy and procedures.

(d) Location of records. All records required by this policy shall be maintained as required by 390.31 and shall be made available for inspection at the Borough’s principal place of business within two business days after a request has been made by an authorized representative of the FMCSA.

REPORTING OF RESULTS IN A MANAGEMENT INFORMATION SYSTEM 382.403

(a) The Borough shall prepare and maintain a summary of the results of its alcohol and controlled substances testing programs performed under this part during the previous calendar year, when requested by the Secretary of Transportation, any DOT agency, or any State or local officials with regulatory authority over the Borough or any of its drivers.

(b) If the Borough is notified, during the month of January, of a request by the Federal Motor Carrier Safety Administration to report the Borough’s annual calendar year summary information, the Borough shall prepare and submit the report to the FMCSA by March 15 of that year. The Borough shall ensure that the annual summary report is accurate and received by March 15 at the location that the FMCSA specifies in its request. The Borough must use the Management Information System (MIS) form and instructions as required by 49 CFR part 40 (at Sec. 40.26 and appendix H to part 40). The Borough may also use the electronic version of the
MIS form provided by the DOT. The Administrator may designate means (e.g., electronic program transmitted via the Internet), other than hard-copy, for MIS form submission. For information on the electronic version of the form, see:


You must use the form at appendix H to this part. You may also view and download the updated (1.01.2018) instructions at the DOT’s website: (https://www.transportation.gov/odapc). You must submit the MIS report in accordance with rule requirements (e.g., dates for submission, selection of companies required to submit, and method of reporting) established by the DOT agency regulating your operation.

(c) When the report is submitted to the FMCSA by mail or electronic transmission, the information requested shall be typed, except for the signature of the certifying official. The Borough shall ensure the accuracy and timeliness of each report submitted by the Borough or a consortium.

(d) If the Borough has a covered employee who performs multi-DOT agency functions (e.g., an employee drives a commercial motor vehicle and performs pipeline maintenance duties for the Borough), then that employee shall be counted only on the MIS report for the DOT agency under which he or she is randomly tested. Normally, this will be the DOT agency under which the employee performs more than 50% of his or her duties. The Borough may have to explain the testing data for these employees in the event of a DOT agency inspection or audit.

(e) A service agent (e.g., Consortia/Third party administrator as defined in 49 CFR 382.107) may prepare the MIS report on behalf of the Borough. However, the Borough official (e.g., Designated employer representative) must certify the accuracy and completeness of the MIS report, no matter who prepares it.

ACCESS TO FACILITIES AND RECORDS 382.405

(a) Except as required by law or expressly authorized or required, the Borough shall not release driver information that is contained in records required to be maintained under 382.401.

(b) A driver is entitled, upon written request, to obtain copies of any records pertaining to the driver’s use of alcohol or controlled substances, including any records pertaining to his/her alcohol or controlled substances tests. The Borough will promptly provide the records requested by the driver. Access to a driver’s records shall not be contingent upon payment for records other than those specifically requested.

(c) The Borough shall permit access to all facilities utilized in complying with the requirements of this policy to the Secretary of Transportation, any DOT agency, or any State or local officials with regulatory authority over the Borough or any of its drivers.

(d) The Borough and each service agent who maintains records for an employer, must make available copies of all results for DOT alcohol and/or controlled substances testing conducted by the Borough and any other information pertaining to the Borough’s alcohol misuse and/or controlled substances use prevention program when requested by the Secretary of Transportation, any DOT agency, or any State or local officials with regulatory authority over the Borough or any of its drivers.
(e) When requested by the National Transportation Safety Board as a part of a crash investigation:

(i) The Borough must disclose information related to the Borough's administration of a post-accident alcohol and/or a controlled substances test administered following the crash under investigation; and

(ii) FMCSA will provide access to information in the Clearinghouse (once established) concerning drivers who are involved with the crash under investigation.

(f) When requested by the National Transportation Safety Board as part of an accident investigation, the Borough shall disclose information related to the Borough's administration of a post-accident alcohol and/or controlled substances test administered following the accident under investigation.

(g) Records shall be made available to a subsequent employer upon receipt of a written request from a driver. Disclosure by the subsequent employer is permitted only as expressly authorized by the terms of the driver's request.

(h) The Borough may disclose information required to be maintained under this policy pertaining to a driver to the decision maker in a lawsuit, grievance, or administrative proceeding initiated by or on behalf of the individual, and arising from a positive DOT drug or alcohol test or a refusal to test (including, but not limited to, adulterated or substituted test results) of this policy (including, but not limited to, a worker's compensation, unemployment compensation, or other proceeding relating to a benefit sought).

(i) The Borough shall release information regarding a driver's records as directed by the specific written consent of the driver authorizing release of the information to an identified person. Release of such information by the person receiving the information is permitted only in accordance with the terms of the employee's specific written consent as outlined in 49 CFR part 40.321(b).

MEDICAL REVIEW OFFICER NOTIFICATIONS TO THE BOROUGH 382.407

The medical review officer shall report the results of controlled substances tests to the Borough in accordance with the requirements of 49 CFR part 40, Subpart G.

MEDICAL REVIEW OFFICER RECORD RETENTION FOR CONTROLLED SUBSTANCES 382.409

(a) A medical review officer or third party administrator shall maintain all dated records and notifications, identified by individual, for a minimum of five (5) years for verified positive controlled substances test results.

(b) A medical review officer or third party administrator shall maintain all dated records and notifications, identified by individual, for a minimum or one (1) year for negative and canceled controlled substances test results.

(c) No person may obtain the individual controlled substances test results retained by a medical review officer (MRO as defined in § 40.3) or a consortium/third party administrator (C/TPA as defined in 382.107), and no MRO or C/TPA may release the individual controlled substances test results of any driver to any person, without first obtaining a specific, written authorization from
the tested driver. Nothing in this paragraph (c) shall prohibit a MRO or a C/TPA from releasing to the employer, the Clearinghouse (once established), or to the Secretary of Transportation, any DOT agency, or any State or local officials with regulatory authority over the controlled substances and alcohol testing program under this part, the information delineated in part 40, subpart G.

EMPLOYER NOTIFICATIONS 382.411

(a) The Borough shall notify a driver of the results of a pre-employment-controlled substances test conducted under this policy, if the driver applicant requests such results within 60 calendar days of being notified of the disposition of the employment application. The Borough shall notify a driver of the results of random, reasonable suspicion and post-accident tests for controlled substances conducted under this policy if the test results are verified positive. The Borough shall also inform the driver which controlled substance or substances were verified as positive.

(b) The designated employer representative (DER) shall make reasonable efforts to contact and request each driver who submitted a specimen under this policy, regardless of the driver’s employment status, to contact and discuss the results of the controlled substances test with a medical review officer who has been unable to contact the driver.

(c) The designated employer representative (DER) shall immediately notify the medical review officer that the driver has been notified to contact the medical review officer within 24 hours.

INQUIRIES FOR ALCOHOL AND CONTROLLED SUBSTANCES INFORMATION FROM PREVIOUS EMPLOYERS 382.413

(a) The Borough must request alcohol and controlled substances information from previous employers in accordance with the requirements of § 40.25, except that the Borough must request information from all DOT-regulated employers that employed the driver within the previous 3 years and the scope of the information requested must date back 3 years.

(b) As of January 6, 2023, employers must use the Drug and Alcohol Clearinghouse in accordance with § 382.701(a) to comply with the requirements of § 40.25 of this title with respect to FMCSA-regulated employers. Exception: When an employee who is subject to follow-up testing has not successfully completed all follow-up tests, employers must request the previous employer’s follow-up testing plan directly from the previous employer in accordance with § 40.25(b)(5).

(c) If an applicant was subject to an alcohol and controlled substance testing program under the requirements of a DOT Agency other than FMCSA, the Borough must request the alcohol and controlled substances information required under this section and § 40.25 directly from those employers regulated by a DOT Agency other than FMCSA.

NOTIFICATION TO EMPLOYERS OF A CONTROLLED SUBSTANCES OR ALCOHOL TESTING PROGRAM VIOLATION 382.415

Each person holding a commercial driver’s license and subject to the DOT controlled substances and alcohol testing requirements under § 382 who has violated the alcohol and controlled
substances prohibitions under part 40 or under § 382 without complying with the requirements of part 40, subpart O, must notify in writing all current employers of such violation(s). The driver is not required to provide notification to the employer that administered the test or documented the circumstances that gave rise to the violation. The notification must be made before the end of the business day following the day the employee received notice of the violation, or prior to performing any safety-sensitive function, whichever comes first.
SECTION E - CONSEQUENCES FOR
DRIVERS ENGAGING IN SUBSTANCE
USE-RELATED CONDUCT

REMOVAL FROM SAFETY-SENSITIVE FUNCTION 382.501

(a) Except as provided in Section F of this policy, no driver shall perform safety-sensitive functions, including driving a commercial motor vehicle, if the driver has engaged in conduct prohibited by Section B of this policy or an alcohol or controlled substances rule of another DOT agency.

(b) The Borough shall not permit any driver to perform safety-sensitive functions, including driving a commercial motor vehicle, if the Borough has determined that the driver has violated this policy.

(c) For the purposes of DOT/FMCSA regulations, commercial motor vehicle means a commercial motor vehicle in commerce as defined in 382.107 and a commercial motor vehicle in interstate commerce as defined in part 390.

REQUIRED EVALUATION AND TESTING 382.503

No driver who has engaged in conduct prohibited by Section B of this policy shall perform safety-sensitive functions, including driving a commercial motor vehicle, unless the driver has met the requirements of 49 CFR part 40, Subpart O. The Borough shall not permit a driver who has engaged in conduct prohibited by Section B of this policy to perform safety-sensitive functions, including driving a commercial motor vehicle, unless the driver has met the requirements of 49 CFR part 40, Subpart O.

OTHER ALCOHOL-RELATED CONDUCT 382.505

(a) No driver tested under the provisions of Section C of this policy who is found to have an alcohol concentration of 0.02 or greater but less than 0.04 shall perform or continue to perform safety-sensitive functions for the Borough, including driving a commercial motor vehicle, nor shall the Borough permit the driver to perform or continue to perform safety-sensitive functions, until the start of the driver’s next regularly scheduled duty period, but not less than 24 hours following administration of the test.

(b) Except as provided in paragraph (a) of this section, the Borough shall not take any action under this policy against a driver based solely on test results showing an alcohol concentration less than 0.04. This does not prohibit the Borough with authority independent of this policy from taking any action otherwise consistent with law.

The use or possession of alcoholic beverages while on the Borough’s property, or in any of the Borough’s vehicle, or on the Borough’s time, including breaks or lunch, paid or unpaid, on any shift, is strictly prohibited.
Employees who are not at work, but who could be called out are expected to be fit for duty upon reporting for work. If an employee is under the influence of alcohol, the employee must notify the Borough’s personnel when contacted. Failure to advise the Borough of alcohol consumption may result in disciplinary action. If a covered employee is perceived to be under the influence of alcohol when reporting to work after being called in, the employee’s supervisor must be notified.

The supervisor must objectively observe the employee’s behavior and if possible, substantiate the behavior with a second supervisor. Supervisors must have received training in alcohol and/or substance abuse detection. The supervisor must follow procedures outlined in the policy. If a determination to test for reasonable suspicion is made, the employee is immediately removed from safety-sensitive duties and the DER is contacted.

**PENALTIES 382.507**

The Borough and/or driver who violates the FMCSA requirements of § 382 and/or 49 CFR part 40 shall be subject to the civil and/or criminal penalty provisions of 49 U.S.C. Section 521(b).
SECTION F – ALCOHOL MISUSE AND CONTROLLED SUBSTANCES USE INFORMATION, TRAINING, AND REFERRAL

THE BOROUGH’S OBLIGATION TO PROMULGATE A POLICY ON THE MISUSE OF ALCOHOL AND USE OF CONTROLLED SUBSTANCES. 382.601

(a) General requirements. The Borough shall provide educational materials that explain the requirements of this policy and the Borough’s policies and procedures with respect to meeting the FMCSA alcohol and drug testing requirements.

1. The Borough shall ensure that a copy of these materials is distributed to each driver prior to the start of alcohol and controlled substances testing under this policy and to each driver subsequently hired or transferred into a position requiring driving a commercial motor vehicle.

2. The Borough shall provide written notice to representatives of employee organizations of the availability of this information.

(b) Required content. The materials to be made available to drivers shall include detailed discussion of at least the following:

1. The identity of the person designated by the Borough to answer driver questions about the materials;

2. The categories of drivers who are subject to the provisions of this policy;

3. Sufficient information about the safety-sensitive functions performed by those drivers to make clear what period of the work day the driver is required to be in compliance with the policy;

4. Specific information concerning driver conduct that is prohibited by this policy;

5. The circumstances under which a driver will be tested for alcohol and/or controlled substances under this policy including post-accident testing under 382.303(d);

6. The procedures that will be used to test for the presence of alcohol and controlled substances, protect the driver and the integrity of the testing process, safeguard the validity of the test results, and ensure that those results are attributed to the correct driver, including post-accident information, procedures and instructions required by 382.303(d); (49 CFR part 40)

7. The requirement that a driver submit to alcohol and controlled substances tests administered in accordance with this policy;
(8) An explanation of what constitutes a refusal to submit to an alcohol or controlled substances test and the attendant consequences;

(9) The consequences for drivers found to have violated Section B of this policy, including the requirement that the driver be removed immediately from safety-sensitive functions, and the procedures under 49 CFR part 40, Subpart O;

(10) The consequences for drivers found to have an alcohol concentration of 0.02 or greater but less that 0.04;

(11) Information concerning the effects of alcohol and controlled substances use on an individual’s health, work, and personal life; signs and symptoms of an alcohol or a controlled substances problem (the driver’s or a coworker’s); and available methods of intervening when an alcohol or a controlled substances problem is suspected, including confrontation, referral to any employee assistance program and/or referral to management;

(c) The requirement that the following personal information collected and maintained under this part shall be reported to the Clearinghouse (once established):

(1) A verified positive, adulterated, or substituted drug test result;

(2) An alcohol confirmation test with a concentration of 0.04 or higher;

(3) A refusal to submit to any test required by subpart C;

(4) An employer’s report of actual knowledge, as defined at § 382.107;

(5) On-duty alcohol use pursuant to § 382.205;

(6) Pre-duty alcohol use pursuant to § 382.207;

(7) Alcohol use following an accident pursuant to § 382.209; and

(8) Controlled substance use pursuant to § 382.213;

(9) A substance abuse professional (SAP as defined in § 40.3 of this title) report of the successful completion of the return-to-duty process;

(10) A negative return-to-duty test; and

(11) An employer’s report of completion of follow-up testing.

(d) Optional provision. The materials supplied to drivers may also include information on the Borough’s additional policies with respect to the use or possession of alcohol or controlled substances, including any consequences for a driver found to have a specified alcohol or controlled substances level, that are based on the Borough’s authority independent of Federal regulation. Any such additional policies or consequences must be clearly and obviously described as being based on independent authority.

(e) Certificate of receipt. The Borough shall ensure that each driver is required to sign a statement certifying that he/she has received a copy of these materials described in this section. The Borough shall maintain the original of the signed certificate and may provide a copy of the certificate to the driver.
TRAINING FOR SUPERVISORS 382.603

The Borough shall ensure that all persons designated to supervise drivers receive at least 60 minutes of training on alcohol misuse and receive at least an additional 60 minutes of training on controlled substances use. The training will be used by the supervisors to determine whether reasonable suspicion exists to require a driver to undergo testing under § 382.307. The training shall include the physical, behavioral, speech, and performance indicators of probable alcohol misuse and use of controlled substances. Recurrent training for supervisory personnel is not required.

REFERRAL, EVALUATION, AND TREATMENT 382.605

The requirements for referral, evaluation, and treatment must be performed in accordance with 49 CFR part 40, Subpart O.
SECTION G – REQUIREMENTS AND PROCEDURES FOR IMPLEMENTATION OF THE COMMERCIAL DRIVER’S LICENSE DRUG AND ALCOHOL CLEARINGHOUSE

The purpose of the Borough Policy update in advance of the Compliance Date of January 6, 2020 as mandated by § 382.601: 1) is part of the Borough’s efforts to meet its Employer Obligation to Promulgate a Policy on the Misuse of Alcohol and Use of Controlled Substance; 2) to publish educational materials to drivers about the Clearinghouse and other regulatory changes contained in the Final Rule issued December 5, 2016; and 3) to notify drivers that drug and alcohol test information will be reported to the Clearinghouse beginning January 6, 2020 so as to encourage drivers to seek substance abuse treatment if they currently have a problem with the misuse of alcohol and/or use of controlled substance(s).

DRUG AND ALCOHOL CLEARINGHOUSE 382.701

(a) Pre-employment query required.

(1) Employers must not employ a driver subject to controlled substances and alcohol testing to perform a safety-sensitive function without first conducting a pre-employment query of the Clearinghouse to obtain information about whether the driver has a verified positive, adulterated, or substituted controlled substances test result; has an alcohol confirmation test with a concentration of 0.04 or higher; has refused to submit to a test in violation of § 382.211; or that an employer has reported actual knowledge, as defined at § 382.107, that the driver used alcohol on duty in violation of § 382.205, used alcohol before duty in violation of § 382.207, used alcohol following an accident in violation of § 382.209, or used a controlled substance, in violation of § 382.213.

(2) The Borough must conduct a full query under this section, which releases information in the Clearinghouse to an employer and requires that the individual driver give specific consent.

(b) Annual query required.

(1) The Borough must conduct a query of the Clearinghouse at least once per year for information for all employee’s subject to controlled substance and alcohol testing under 382, to determine whether information exists in the Clearinghouse about those employees.

(2) In lieu of a full query, as described in paragraph (a)(2) of 382.701, the Borough may obtain the individual driver’s consent to conduct a limited query to satisfy the annual query requirement in paragraph (b)(1) of this section. The limited query will tell the Borough whether there is information about the individual driver in the Clearinghouse, but will not release that information to the Borough. The individual driver may give consent to conduct limited queries that is effective for more than one year.
(3) If the limited query shows that information exists in the Clearinghouse about the individual driver, the employer must conduct a full query, in accordance with paragraph (a)(2) of 382.701, within 24 hours of conducting the limited query. If the employer fails to conduct a full query within 24 hours, the employer must not allow the driver to continue to perform any safety-sensitive function until the employer conducts the full query and the results confirm that the driver’s Clearinghouse record contains no prohibitions as defined in paragraph (d) of 382.701.

(e) Employer notification. If any information described in paragraph (a) of 382.701 is entered into the Clearinghouse about a driver during the 30-day period immediately following an employer conducting a query of that driver’s records, FMCSA will notify the employer.

(d) Prohibition. No employer may allow a driver to perform any safety-sensitive function if the results of a Clearinghouse query demonstrate that the driver has a verified positive, adulterated, or substituted controlled substances test result; has an alcohol confirmation test with a concentration of 0.04 or higher; has refused to submit to a test in violation of § 382.211; or that an employer has reported actual knowledge, as defined at § 382.107, that the driver used alcohol on duty in violation of § 382.205, used alcohol before duty in violation of § 382.207, used alcohol following an accident in violation of § 382.209, or used a controlled substance in violation of § 382.213, except where a query of the Clearinghouse demonstrates:

1. That the driver has successfully completed the SAP evaluation, referral, and education/treatment process set forth in part 40, subpart O, of this title; achieves a negative return-to-duty test result; and completes the follow-up testing plan prescribed by the SAP.

2. That, if the driver has not completed all follow-up tests as prescribed by the SAP in accordance with § 40.307 and specified in the SAP report required by § 40.311, the driver has completed the SAP evaluation, referral, and education/treatment process set forth in part 40, subpart O, and achieves a negative return-to-duty test result, and the employer assumes the responsibility for managing the follow-up testing process associated with the testing violation.

(e) Recordkeeping required. Employers must retain for 3 years a record of each query and all information received in response to each query made under this section. As of January 6, 2023, an employer who maintains a valid registration fulfills this requirement.

DRIVER CONSENT TO PERMIT ACCESS TO INFORMATION IN THE CLEARINGHOUSE 382.703

(a) No employer may query the Clearinghouse to determine whether a record exists for any particular driver without first obtaining that driver’s written or electronic consent. The employer conducting the search must retain the consent for 3 years from the date of the last query.

(b) Before the Borough may access information contained in the driver’s Clearinghouse record, the driver must submit electronic consent through the Clearinghouse granting the employer access to the following specific records:
(1) A verified positive, adulterated, or substituted controlled substances test result;
(2) An alcohol confirmation test with a concentration of 0.04 or higher;
(3) A refusal to submit to a test in violation of § 382.211;
(4) An employer's report of actual knowledge, as defined at § 382.107, of:
   (i) On duty alcohol use pursuant to § 382.205;
   (ii) Pre-duty alcohol use pursuant to § 382.207;
   (iii) Alcohol use following an accident pursuant to § 382.209; and
   (iv) Controlled substance use pursuant to § 382.213;
(5) A SAP report of the successful completion of the return-to-duty process;
(6) A negative return-to-duty test; and
(7) An employer's report of completion of follow-up testing.

(c) No employer may permit a driver to perform a safety-sensitive function if the driver refuses to grant the consent required by paragraphs (a) and (b) of 382.703.

(d) A driver granting consent under 382.703 must provide consent electronically to the Agency through the Clearinghouse prior to release of information to an employer in accordance with § 382.701(a)(2) or (b)(3).

(e) A driver granting consent under this section grants consent for the Agency to release information to an employer in accordance with § 382.701(c).

REPORTING TO THE CLEARINGHOUSE 382.705

(a) MROs.

(1) Within 2 business days of making a determination or verification, MROs must report the following information about a driver to the Clearinghouse:
   (i) Verified positive, adulterated, or substituted controlled substances test results;
   (ii) Refusal-to-test determination by the MRO in accordance with 49 CFR 40.191(a)(5), (7), and (11), (b), and (d)(2).

(2) MROs must provide the following information for each controlled substances test result specified in paragraph (a)(1) of this section:
   (i) Reason for the test;
   (ii) Federal Drug Testing Custody and Control Form specimen ID number;
   (iii) Driver's name, date of birth, and CDL number and State of issuance;
   (iv) Employer's name, address, and USDOT number, if applicable;
   (v) Date of the test;
(vi) Date of the verified result; and

(vii) Test result. The test result must be one of the following:

(A) Positive (including the controlled substance(s) identified);

(B) Refusal to test: adulterated;

(C) Refusal to test: substituted; or

(D) Refusal to provide a sufficient specimen after the MRO makes a determination, in accordance with § 40.193 of this title, that the employee does not have a medical condition that has, or with a high degree of probability could have, precluded the employee from providing a sufficient amount of urine. Under this subpart a refusal would also include a refusal to undergo a medical examination or evaluation to substantiate a qualifying medical condition.

(3) Within 1 business day of making any change to the results report in accordance with paragraph (a)(1) of this section, a MRO must report that changed result to the Clearinghouse.

(b) Employers.

(1) Employers must report the following information about a driver to the Clearinghouse by the close of the third business day following the date on which they obtained that information:

   (i) An alcohol confirmation test result with an alcohol concentration of 0.04 or greater;

   (ii) A negative return-to-duty test result;

   (iii) A refusal to take an alcohol test pursuant to 49 CFR 40.261;

   (iv) A refusal to test determination made in accordance with 49 CFR 40.191(a)(1) through (4), (a)(6), (a)(8) through (11), or (d)(1), but in the case of a refusal to test under (a)(11), the employer may report only those admissions made to the specimen collector; and

   (v) A report that the driver has successfully completed all follow-up tests as prescribed in the SAP report in accordance with §§ 40.307, 40.309, and 40.311 of this title.

(2) The information required to be reported under paragraph (b)(1) of this section must include, as applicable:

   (i) Reason for the test;

   (ii) Driver's name, date of birth, and CDL number and State of issuance;

   (iii) Employer name, address, and USDOT number;

   (iv) Date of the test;
(v) Date the result was reported; and

(vi) Test result. The test result must be one of the following:

(A) Negative (only required for return-to-duty tests administered in accordance with § 382.309);

(B) Positive; or

(C) Refusal to take a test.

(3) For each report of a violation of 49 CFR 40.261(a)(1) or 40.191(a)(1), the employer must report the following information:

(i) Documentation, including, but not limited to, electronic mail or other contemporaneous record of the time and date the driver was notified to appear at a testing site; and the time, date and testing site location at which the employee was directed to appear, or an affidavit providing evidence of such notification;

(ii) Documentation, including, but not limited to, electronic mail or other correspondence, or an affidavit, indicating the date the employee was terminated or resigned (if applicable);

(iii) Documentation, including, but not limited to, electronic mail or other correspondence, or an affidavit, showing that the C/TPA reporting the violation was designated as a service agent for an employer who employs himself/herself as a driver pursuant to paragraph (b)(6) of this section when the reported refusal occurred (if applicable); and

(iv) Documentation, including a certificate of service or other evidence, showing that the employer provided the employee with all documentation reported under paragraph (b)(3) of this section.

(4) Employers must report the following violations by the close of the third business day following the date on which the employer obtains actual knowledge, as defined at § 382.107, of:

(i) On-duty alcohol use pursuant to § 382.205;

(ii) Pre-duty alcohol use pursuant to § 382.207;

(iii) Alcohol use following an accident pursuant to § 382.209; and

(iv) Controlled substance use pursuant to § 382.213.

(5) For each violation in paragraph (b)(4) of this section, the employer must report the following information:

(i) Driver’s name, date of birth, CDL number and State of issuance;

(ii) Employer name, address, and USDOT number, if applicable;

(iii) Date the employer obtained actual knowledge of the violation;
(iv) Witnesses to the violation, if any, including contact information;

(v) Description of the violation;

(vi) Evidence supporting each fact alleged in the description of the violation required under paragraph (b)(4) of this section, which may include, but is not limited to, affidavits, photographs, video or audio recordings, employee statements (other than admissions pursuant to § 382.121), correspondence, or other documentation; and

(vii) A certificate of service or other evidence showing that the employer provided the employee with all information reported under paragraph (b)(4) of this section.

(6) An employer who employs himself/herself as a driver must designate a C/TPA to comply with the employer requirements in paragraph (b) of this section related to his or her own alcohol and controlled substances use.

(c) C/TPAs. Any employer may designate a C/TPA to perform the employer requirements in paragraph (b) of this section. Regardless of whether it uses a C/TPA to perform its requirements, the employer retains ultimate responsibility for compliance with this section. Exception: an employer does not retain responsibility where the C/TPA is designated to comply with employer requirements as described in paragraph (b)(6) of 382.705.

(d) SAPs.

(1) SAPs must report to the Clearinghouse for each driver who has completed the return-to-duty process in accordance with 49 CFR part 40, subpart O, the following information:

(i) SAPs name, address, and telephone number;

(ii) Driver’s name, date of birth, and CDL number and State of issuance;

(iii) Date of the initial substance-abuse-professional assessment; and

(iv) Date the SAP determined that the driver demonstrated successful compliance as defined in 49 CFR part 40, subpart O, and was eligible for return-to-duty testing under 382.

(2) SAP must report the information required by paragraphs (d)(1)(i) through (iii) of this section by the close of the business day following the date of the initial substance abuse assessment, and must report the information required by paragraph (d)(1)(iv) of 382.703 by the close of the business day following the determination that the driver has completed the return-to-duty process.

(e) Reporting truthfully and accurately. Every person or entity with access must report truthfully and accurately to the Clearinghouse and is expressly prohibited from reporting information he or she knows or should know is false or inaccurate.
<table>
<thead>
<tr>
<th>Reporting Entity</th>
<th>When Information Will Be Reported to Clearinghouse</th>
</tr>
</thead>
</table>
| Prospective/Current Employer of CDL Driver | - An alcohol confirmation test with a concentration of 0.04 or higher  
- Refusal to test (alcohol) as specified in 49 CFR 40.261  
- Refusal to test (drug) not requiring a determination by the MRO as specified in 49 CFR 40.191  
- Actual knowledge, as defined in 49 CFR 382.107, that a driver has used alcohol on duty, used alcohol within four hours of coming on duty, used alcohol prior to post-accident testing, or has used a controlled substance.  
- Negative return-to-duty test results (drug and alcohol testing, as applicable)  
- Completion of follow-up testing |
| Service Agent acting on behalf of Current Employer of CDL Driver | - An alcohol confirmation test with a concentration of 0.04 or higher  
- Refusal to test (alcohol) as specified in 49 CFR 40.261  
- Refusal to test (drug) not requiring a determination by the MRO as specified in 49 CFR 40.191  
- Actual knowledge, as defined in 49 CFR 382.107, that a driver has used alcohol on duty, used alcohol within four hours of coming on duty, used alcohol prior to post-accident testing, or has used a controlled substance.  
- Negative return-to-duty test results (drug and alcohol testing, as applicable)  
- Completion of follow-up testing |
| MRO | - Verified positive, adulterated, or substituted drug test result  
- Refusal to test (drug) requiring a determination by the MRO as specified in 49 CFR 40.191 |
| SAP | - Identification of driver and date the initial assessment was initiated  
- Successful completion of treatment and/or education and the determination of eligibility for return-to-duty testing |

**NOTICE TO DRIVERS OF ENTRY, REVISION, REMOVAL, OR RELEASE OF INFORMATION 382.707**

(a) FMCSA must notify a driver when information concerning that driver has been added to, revised, or removed from the Clearinghouse.

(b) FMCSA must notify a driver when information concerning that driver has been released from the Clearinghouse to an employer and specify the reason for the release.

(c) Drivers will be notified by letter sent by U.S. Mail to the address on record with the State Driver Licensing Agency that issued the driver's commercial driver's license. Exception: A driver may provide the Clearinghouse with an alternative means or address for notification, including electronic mail.
A driver may review information in the Clearinghouse about himself or herself, except as otherwise restricted by law or regulation. A driver must register with the Clearinghouse before accessing his or her information.

CLEARINGHOUSE REGISTRATION 382.711

(a) Clearinghouse registration required. Each employer and service agent must register with the Clearinghouse before accessing or reporting information in the Clearinghouse.

(b) Employers.

(1) Employer Clearinghouse registration must include:

(i) Name, address, and telephone number;

(ii) USDOT number, except if the registrant does not have a USDOT Number, it may be requested to provide other information to verify identity; and

(iii) Name of the person(s) the employer authorizes to report information to or obtain information from the Clearinghouse and any additional information FMCSA needs to validate his or her identity.

(2) Employers must verify the names of the person(s) authorized under paragraph (b)(1)(iii) of this section annually.

(3) Identification of the C/TPA or other service agent used to comply with the requirements of this part, if applicable, and authorization for the C/TPA to query or report information to the Clearinghouse. Employers must update any changes to this information within 10 days.

(c) MROs and SAPs. Each MRO or SAP must provide the following to apply for Clearinghouse registration:

(1) Name, address, telephone number, and any additional information FMCSA needs to validate the applicant’s identity;

(2) A certification that the applicant’s access to the Clearinghouse is conditioned on his or her compliance with the applicable qualification and/or training requirements in 49 CFR part 40; and

(3) Evidence of required professional credentials to verify that the applicant currently meets the applicable qualification and/or training requirements in 49 CFR part 40.

(d) C/TPAs and other service agents. Each consortium/third party administrator or other service agent must provide the following to apply for Clearinghouse registration:

(1) Name, address, telephone number, and any additional information FMCSA needs to validate the applicant’s identity; and

(2) Name, title, and telephone number of the person(s) authorized to report information to and obtain information from the Clearinghouse.
(3) Each C/TPA or other service agent must verify the names of the person(s) authorized under paragraph (d)(2) of 382.711 annually.

DURATION, CANCELLATION, AND REVOCATION OF ACCESS 382.713

(a) Term. Clearinghouse registration is valid for 5 years, unless cancelled or revoked.

(b) Cancellation. FMCSA will cancel Clearinghouse registrations for anyone who has not queried or reported to the Clearinghouse for 2 years.

(c) Revocation. FMCSA has the right to revoke the Clearinghouse registration of anyone who fails to comply with any of the prescribed rights and restrictions on access to the Clearinghouse, including but not limited to, submission of inaccurate or false information and misuse or misappropriation of access rights or protected information from the Clearinghouse and failure to maintain the requisite qualifications, certifications and/or training requirements as set forth in part 40 of this title.

AUTHORIZED TO ENTER INFORMATION INTO THE CLEARINGHOUSE 382.717

(a) C/TPAs. No C/TPA or other service agent may enter information into the Clearinghouse on an employer's behalf unless the employer designates the C/TPA or other service agent.

(b) SAPs. A driver must designate a SAP before that SAP can enter any information about the driver's return-to-duty process into the Clearinghouse.

PROCEDURES FOR CORRECTING INFORMATION IN THE DATABASE 382.17

(a) Petitions limited to inaccurately reported information.

(1) Under this section, petitioners may challenge only the accuracy of information reporting, not the accuracy of test results or refusals.

(2) Exceptions.

(i) Petitioners may request that FMCSA remove from the Clearinghouse an employer's report of actual knowledge that the driver received a traffic citation for driving a commercial motor vehicle while under the influence of alcohol or controlled substances if the citation did not result in a conviction. For the purposes of this section, conviction has the same meaning as used in 49 CFR part 383.

(ii) Petitioners may request that FMCSA remove from the Clearinghouse an employer's report of actual knowledge (other than as provided for in paragraph (a)(2)(i) of this section) if that report does not comply with the reporting requirements in § 382.705(b)(5).

(iii) Petitioners may request that FMCSA remove from the Clearinghouse an employer's report of a violation under 49 CFR 40.261(a)(1) or 40.191(a)(1) if that report does not comply with the reporting requirements in § 382.705(b)(3).

(b) Petition. Any driver or authorized representative of the driver may submit a petition to the FMCSA contesting the accuracy of information in the Clearinghouse. The petition must include:
(1) The petitioner’s name, address, telephone number, and CDL number and State of issuance;

(2) Detailed description of the basis for the allegation that the information is not accurate; and

(3) Evidence supporting the allegation that the information is not accurate. Failure to submit evidence is cause for dismissing the petition.

(c) Submission of petition. The petitioner may submit his/her petition electronically through the Clearinghouse or in writing to: Federal Motor Carrier Safety Administration, Office of Enforcement and Compliance, Attention: Drug and Alcohol Program Manager, 1200 New Jersey Avenue SE, Washington, D.C. 20590.

(d) Notice of decision. Within 45 days of receiving a complete petition, FMCSA will inform the driver in writing of its decision to remove, retain, or correct the information in the database and provide the basis for the decision.

(e) Request for expedited treatment.

(1) A driver may request expedited treatment to correct inaccurate information in his or her Clearinghouse record under paragraph (a)(1) of this section if the inaccuracy is currently preventing him or her from performing safety-sensitive functions, or to remove employer reports under paragraph (a)(2) of this section if such reports are currently preventing him or her from performing safety-sensitive functions. This request may be included in the original petition or as a separate document.

(2) If FMCSA grants expedited treatment, it will subsequently inform the driver of its decision in writing within 14 days of receipt of a complete petition.

(f) Administrative review.

(1) A driver may request FMCSA to conduct an administrative review if he or she believes that a decision made in accordance with paragraph (d) or (e) of this section was in error.

(2) The request must prominently state at the top of the document: “Administrative Review of Drug and Alcohol Clearinghouse Decision” and the driver may submit his/her request electronically through the Clearinghouse or in writing to the Associate Administrator for Enforcement (MC-E), Federal Motor Carrier Safety Administration, 1200 New Jersey Ave., SE., Washington, DC 20590.

(3) The driver’s request must explain the error he or she believes FMCSA committed and provide information and/or documents to support his or her argument.

(4) FMCSA will complete its administrative review no later than 30 days after receiving the driver’s request for review. The Associate Administrator’s decision will constitute the final Agency action.

(g) Subsequent notification to employers. When information is corrected or removed in accordance with this section, or in accordance with 49 CFR part 10, FMCSA will notify any employer that accessed the incorrect information that a correction or removal was made.
AVAILABILITY AND REMOVAL OF INFORMATION 382.719

(a) Driver information not available. Information about a driver's drug or alcohol violation will not be available to an employer conducting a query of the Clearinghouse after all of the following conditions relating to the violation are satisfied:

(1) The SAP reports to the Clearinghouse the information required in § 382.705(d);

(2) The employer reports to the Clearinghouse that the driver's return-to-duty test results are negative;

(3) The driver's current employer reports that the driver has successfully completed all follow-up tests as prescribed in the SAP report in accordance with §§ 40.307, 40.309, and 40.311 of this title; and

(4) Five years have passed since the date of the violation determination.

(b) Driver information remains available. Information about a particular driver's drug or alcohol violation will remain available to employers conducting a query until all requirements in paragraph (a) of this section have been met.

(c) Exceptions.

(1) Within 2 business days of granting a request for removal pursuant to § 382.717(a)(2)(i), FMCSA will remove information from the Clearinghouse.

(2) Information about a particular driver's drug or alcohol violation may be removed in accordance with § 382.717(a)(2)(ii) and (iii) or in accordance with 49 CFR part 10.

(d) Driver information remains available. Nothing in this part shall prevent FMCSA from using information removed under this section for research, auditing, or enforcement purposes.

FEES 382.721

FMCSA may collect a reasonable fee from entities required to query the Clearinghouse. Exception: No driver may be required to pay a fee to access his or her own information in the Clearinghouse.

UNAUTHORIZED ACCESS OR USE PROHIBITED 382.723

(a) Except as expressly authorized in this subpart, no person or entity may access the Clearinghouse. No person or entity may share, distribute, publish, or otherwise release any information in the Clearinghouse except as specifically authorized by law. No person may report inaccurate or misleading information to the Clearinghouse.

(b) An employer's use of information received from the Clearinghouse is limited to determining whether a prohibition applies to a driver performing a safety-sensitive function with respect to a commercial motor vehicle. No employer may divulge or permit any other person or entity to divulge any information from the Clearinghouse to any person or entity not directly involved in determining whether a prohibition applies to a driver performing a safety-sensitive function with respect to a commercial motor vehicle.
c) Violations of this section are subject to civil and criminal penalties in accordance with applicable law, including those set forth at § 382.507.

(d) Nothing in this part shall prohibit FMCSA from accessing information about individual drivers in the Clearinghouse for research, auditing, or enforcement purposes.

ACCESS BY STATE LICENSING AUTHORITIES 382.725

(a) In order to determine whether a driver is qualified to operate a commercial motor vehicle, the chief commercial driver’s licensing official of a State must obtain the driver’s record from the Clearinghouse if the driver has applied for a commercial driver’s license from that State.

(b) By applying for a commercial driver’s license, a driver is deemed to have consented to the release of information from the Clearinghouse in accordance with this section.

(c) The chief commercial driver’s licensing official’s use of information received from the Clearinghouse is limited to determining an individual’s qualifications to operate a commercial motor vehicle. No chief driver’s licensing official may divulge or permit any other person or entity to divulge any information from the Clearinghouse to any person or entity not directly involved in determining an individual’s qualifications to operate a commercial motor vehicle.

(d) A chief commercial driver’s licensing official who does not take appropriate safeguards to protect the privacy and confidentiality of information obtained under this section is subject to revocation of his or her right of access under this section.

PENALTIES 382.727

An employer, employee, MRO, or service agent who violates any provision of this subpart shall be subject to the civil and/or criminal penalty provisions of 49 U.S.C. 521(b)(2)(C).

INVESTIGATION AND INQUIRIES 391.23

(e) (4) As of January 6, 2023, employers subject to § 382.701(a) of § 382 must use the Drug and Alcohol Clearinghouse to comply with the requirements of this section with respect to FMCSA-regulated employers.

(i) Exceptions.

(A) If an applicant who is subject to follow-up testing has not successfully completed all follow-up tests, the employer must request the applicant’s follow-up testing plan directly from the previous employer in accordance with § 40.25(b)(5) of Part 40.

(B) If an applicant was subject to an alcohol and controlled substance testing program under the requirements of a DOT mode other than FMCSA, the employer must request alcohol and controlled substances information required under this section directly from those employers regulated by a DOT mode other than FMCSA.

(ii) [Reserved]
(f) (1) A prospective motor carrier employer must provide to the previous employer the driver's consent meeting the requirements of § 40.321(b) of Part 40 for the release of the information in paragraph (c) of 391.23. If the driver refuses to provide this consent, the prospective motor carrier employer must not permit the driver to operate a commercial motor vehicle for that motor carrier.

(2) If a driver refuses to grant consent for the prospective motor carrier employer to query the Drug and Alcohol Clearinghouse in accordance with paragraph (c)(4) of 391.23, the prospective motor carrier employer must not permit the driver to operate a commercial motor vehicle.
Appendix A

The Borough of Dumont Commercial Motor Vehicle Driver's Certificate of Compliance With DOT Cell-Phone/Texting Bans

MOTOR CARRIERS: The restrictions in 49 CFR Part 392 on using a mobile telephone or texting while driving apply to every operator of a "commercial motor vehicle" as defined in Section 390.5, including interstate vehicles weighing or rated at 10,001 pounds or more, vehicles placarded for hazardous materials, and certain vehicles designed or used for more than 8 passengers (including the driver). In-state operations of vehicles placarded for hazardous materials are also subject to the restrictions. Other in-state-only operations may also be subject, depending on state rules.

DRIVERS: Part 392 of the Federal Motor Carrier Safety Regulations contains restrictions on texting and the use of hand-held mobile telephones while driving a commercial motor vehicle (CMV), including the following:

- **Texting ban (392.80):** You may not manually enter text into or read text from an electronic device while driving a CMV. This includes e-mailing, text messaging, using the internet, pressing more than one button to start or end a phone call, or any other form of text retrieval or entry for communication purposes.

- **Hand-held cell-phone ban (392.82):** You are prohibited from using a hand-held cell phone while driving a CMV. This includes talking on a phone while holding it in your hand (including push-to-talk), pressing more than a single button to dial or answer a cell phone, or leaving your normal, seated driving position to reach for a cell phone.

Except as prohibited under the Borough policy, you are allowed to use a hands-free phone, a CB radio, a navigation system, a two-way radio, a music player, or a fleet management system for purposes other than texting. Texting and hand-held cell-phone use are only allowed if you need to contact emergency services or if you have stopped in a safe location off the road.

**Penalties (383.51, 391.15, 49 CFR 386):** CDL and non-CDL drivers can be disqualified for 60 up to 120 days and/or face fines of up to $2,750 for each violation. The Borough can be fined up to $11,000 for each violation.

It is understood that the above information is being provided to the employee in an effort by the Borough to show good faith efforts to achieve compliance with the above-cited regulations. (49 CFR § 386.81)
ACKNOWLEDGMENT OF RECEIPT OF BOROUGH OF DUMONT COMMERCIAL DRIVING LICENSE (CDL) DRUG AND ALCOHOL POLICY

I acknowledge that I have received a copy of the Borough of Dumont Commercial Driving License (CDL) Drug and Alcohol Policy (the “Policy”). I agree to read it thoroughly. I agree that if there is any policy or provision in the Policy that I do not understand, I will seek clarification from my supervisor. I understand that the Policy states the policies of the Borough in effect as of the date of publication and that the Policy governs my conduct as an employee of the Borough as stated in the Policy. I further understand that the Borough has the sole discretion to modify any portion of the Policy at any time. In the event of modification of the Policy, I understand that I will receive notification of any such modifications and that I will be required to acknowledge receipt of any such modification at the time they are made. I further understand that nothing in this Policy may be construed as creating a promise of future benefits or a binding contract with the Borough for benefits or for any other purpose.

Date: 

Signature: 

Print Name: 

Department: 

80 W. MADISON AVENUE • 49UMONT, NEW JERSEY 07628 
TEL: 201-387-5022 • FAX: 201-387-5065
2020
BOROUGH OF DUMONT
RESOLUTION

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Resolution No. 110
Date: April 21, 2020
Page: 1 of 14 pages (Policy Attached)
Subject: Non-CDL/Non-DOT Drug and Alcohol Policy
Purpose: Adoption
Dollar Amount: 
Prepared By: Mollie Lustig, Esq.

Offered by: ROSSILLO
Seconded by: CHAE

Certified as a true copy of a Resolution adopted by the Borough of Dumont on above date at a Regular Meeting by:

Susan Connelly, RMC, Municipal Clerk
Borough of Dumont, Bergen County, New Jersey

APPROVAL OF BOROUGH OF DUMONT COMMERCIAL DRIVER’S LICENSE (“CDL”) DRUG AND ALCOHOL POLICY

WHEREAS, the Borough is committed to maintaining a safe and productive working environment. Employees have the right to come to work without fear of interacting with someone under the influence of drugs or alcohol and the Borough has a right to have a workforce that is focused and committed to their positions, without being under influence of drugs or alcohol; and

WHEREAS, one of the Borough’s prime goals in its role as an employer, is in protecting the safety, health and welfare of its employees and others with whom they interface such as citizens, contractors and members of the public. The objective of this policy is to maintain a working
environment free from the adverse effects of substance abuse. While the Borough has no intention of intruding into the private lives of its employees, the Borough does expect employees to report to work unimpaired and able to perform the duties of their job safely and effectively.

**BE IT RESOLVED,** the Governing Body of the Borough of Dumont approves of the Non-CDL/Non-DOT Drug and Alcohol Policy for all employees of the Borough (including Department of Recreation, Library and Senior Center); all members of the Volunteer Fire Department and Volunteer Ambulance Corps, including dispatchers; all employees of the Police Department who are not covered by the Law Enforcement Drug Testing Policy; volunteers of any department of the Borough who interact with children on a regular basis; and, any DPW employee who does not hold a CDL and is therefore, not regulated by the DOT is covered by this policy, prepared by the Borough Labor Counsel (attached);

**BE IT FURTHER RESOLVED,** a copy of this policy shall be disseminated to all employees identified above, and a copy of this policy shall be available upon request at the office of the Borough Clerk.

[Signature]

Andrew LaBruno, Mayor
BOROUGH OF DUMONT
DRUG AND ALCOHOL TESTING POLICY
FOR NON-CDL/NON-DOT EMPLOYEES

MISSION AND PURPOSE

The Borough is committed to maintaining a safe and productive working environment. Employees have the right to come to work without fear of interacting with someone under the influence of drugs or alcohol and the Borough has a right to have a workforce that is focused and committed to their positions, without being under influence of drugs or alcohol. This is considered a Health & Safety Policy of the Borough. The Borough’s Designated Employer Representative (“DER”) is Anthony Schiraldi. The Alternative DER is Christopher Tully. Their contact information appears at the end of this policy.

One of the Borough’s prime goals in its role as an employer, is in protecting the safety, health and welfare of its employees and others with whom they interface such as citizens, contractors and members of the public. The objective of this policy is to maintain a working environment free from the adverse effects of substance abuse. While the Borough has no intention of intruding into the private lives of its employees, the Borough does expect employees to report to work unimpaired and able to perform the duties of their job safely and effectively.

In addition to absenteeism and accidents, substance abuse can adversely affect performance, productivity and workplace morale. Co-workers may feel that they have to cover up, or work harder because of someone’s substance abuse. Ultimately an employee with an alcohol or drug problem may lose their job and/or suffer devastating effects on their health. The Borough has a duty to safeguard its employees and the public from the risk of harm from employees who work under the influence of alcohol and drugs. Similarly, employees who are working under the influence, and employees who know that a fellow employee is working under the influence, owe such a duty to themselves, their co-workers and the public.

All employees and contractors are responsible and accountable for ensuring that they, and their employees, are not under the influence of alcohol or drugs when carrying out work for the Borough. Managers and supervisors are responsible for taking appropriate action where they identify individuals who are at work while under the influence of alcohol or drugs. They should also take appropriate action to protect the health and safety of individuals who may be affected.

To the extent this Policy supplements, and does not conflict with current Collective Bargaining Agreements (“CBA”), it is applicable. However, to the extent this policy may conflict with a CBA, the CBA shall prevail. This policy does not tolerate the abuse of drugs or alcohol in the workplace and encourages any employee who may be suffering from a substance abuse problem to seek assistance. If an employee needs help, the Borough has resources through its Employee Assistance Program (“EAP”) and Substance Abuse Professional (“SAP”) for a confidential evaluation and referral for substance abuse treatment, if necessary.

Compliance with this policy is a condition of hire and continued employment. The Borough has developed its drug-free workplace policy in compliance with New Jersey Laws, and the Fourth Amendment to the United States Constitution as it covers employees of governmental entities. Applicant testing will begin immediately and sixty (60) days after the effective date of this policy,
all employees are subject to testing as outlined below. The existing drug and alcohol testing program will remain in place until the effective date of this program.

CONFIDENTIALITY

All testing information is considered confidential information by the Borough and will be maintained in a separate file along with the employee's medical records, separate from other personnel files. An employee has the right to inspect and obtain a copy of his or her drug test results. Drug testing information will only be released to those employees of the Borough with a job related need to know - the DER or Alternate DER; to defend against any administrative action brought by the employee against the Borough; in grievance or arbitration proceeding under the terms of a CBA; in a court of law under subpoena; as released by the employee in writing to the Medical Review Office(r) (“MRO”); Borough insurers; rehabilitation programs; and, otherwise, as required by law.

SECTION 1

DEFINITIONS

The term “alcohol” means the intoxicating agent in beverage alcohol, ethyl alcohol, or other low molecular weight alcohol's including methyl and isopropyl alcohol.

The terminology “alcohol use” means the drinking or swallowing of any beverage, liquid mixture, or preparation, (including any medication), containing alcohol.

The terminology “designated employer representative” (DER) is an individual identified by the Borough as able to receive communications and test results from service agents and who is authorized to take immediate actions to remove employees from safety-sensitive duties and to make required decisions in the testing and evaluation processes. The individual must be an employee of the Borough.

The terms “drugs” or “controlled substances” mean any of the following: (1) Amphetamines; (2) Cannabinoids; (3) Cocaine; (4) Phencyclidine (PCP); and (5) Opioids.

The terminology “drug testing” and/or “alcohol testing” shall mean drug and alcohol testing that is done through chemical analysis which determines without question if a person has drugs or alcohol in his or her system and in conformity with regulations of the New Jersey Department of Health (“NJDOD”), or The Clinical Laboratory Improvement Amendments of 1988 (“CLIA”).

The term “employee” includes any employee or volunteer of the Borough as specified in Section 2 below.

The terminology “illegal use of drugs” includes any controlled or scheduled drug not used in accordance with a health care provider’s lawful prescription for the user, or any substances banned by Federal or applicable State laws.
SECTION 2

EMPLOYEES SUBJECT TO TESTING

- All employees of the Borough (including Department of Recreation, Library and Senior Center); all members of the Volunteer Fire Department and Volunteer Ambulance Corps, including dispatchers; and, all employees of the Police Department who are not covered by the Law Enforcement Drug Testing Policy are covered by this policy

- Volunteers of any department of the Borough who interact with children on a regular basis.

- All U.S. Department of Transportation ("DOT") regulated employees (Department of Public Works ("DPW") employees who hold CDL) are subject to testing under the Borough's CDL Drug and Alcohol Policy - not this policy.

- Any DPW employee who does not hold a CDL and is therefore, not regulated by the DOT is covered by this policy.

- Law Enforcement Officers are covered by the Law Enforcement Drug Testing Policy enacted by the Dumont Police Department - not this policy.

- Elected officials, who are not otherwise classified as employees, are not subject to testing under this Policy.

SECTION 3

SAFETY SENSITIVE DESIGNATION

The terminology "Safety Sensitive" shall apply to members of the Volunteer Fire Department and Volunteer Ambulance Corps, including dispatchers who are covered by this policy; volunteers of any department of the Borough who interact with children on a regular basis; any DPW employee who does not hold a CDL; and, all employees of the Police Department who are not covered by the Law Enforcement Drug Testing Policy enacted by the Dumont Police Department.

SECTION 4

TESTING METHODOLOGY

- Drug and alcohol testing is done through chemical analysis which determines without question if a person has drugs or alcohol in his or her system and in conformity with regulations of the New Jersey Department of Health ("NJDOH"), or The Clinical Laboratory Improvement Amendments of 1988 ("CLIA").

- Specimens subject to testing include urine, breath, hair, oral fluids, or blood.

- Specimen collections, chain of custody and drug and alcohol tests will be in substantial compliance with the NJDOH procedures applicable to the type of specimen being tested. To ensure accuracy, urine lab test procedures shall include a preliminary drug screening, two highly
sophisticated scientific tests including adulterant detection, and are reported to an independent certified MRO prior to being released to the Borough.

- Observed urine collections will only be conducted with the consent of the donor, and the observer will be by a person whose gender matches the donor's gender as identified by the donor at the beginning of the observed collection. Observed collections will be conducted in a professional manner that minimizes discomfort to the donor, and a medical professional may serve as the monitor, regardless of gender.

- The MRO may recommend the collection of an alternate specimen (e.g., oral fluid) when a donor is unable to provide a sufficient amount of urine specimen at the collection site. The MRO will verify that chain of custody procedures were adhered to, use of a certified laboratory and that the test results were valid.

- The Borough provides reasonable accommodations to employees and/or applicants in the alcohol and drug testing program whose physical condition prevents them from producing a urine specimen suitable for testing. An employee may contact the DER if they wish to make an accommodation request. In accordance with Borough policy, a test result reported by the laboratory as a negative dilute urine test is not considered a negative test but subjects the donor to immediate retesting. A second negative dilute urine test will render an applicant ineligible for hire and, for current employees, where a negative test is required, not currently fit for duty.

- All positive initial tests are confirmed by GC/MS at established DOT cut off levels. An Alcohol content of 0.04 or higher using a DOT approved alcohol screening device, or breath alcohol device, is classified as a positive test.

- The drugs tested for may include all or some of the following: (1) Amphetamines; (2) Cannabinoids; (3) Cocaine; (4) Phencyclidine (PCP); (5) Opioids, designer drugs, or a metabolite of any of the above substances and mind altering synthetic narcotics or designer drugs, or impairing effect medications or substances.

SECTION 5

POSITIVE RESULTS

a. The MRO will contact the employee confidentially to give them an opportunity to discuss their results before reporting them to the Borough as a verified positive. An employee may discuss the result with the MRO up to seventy-two (72) hours after a positive result and ask questions of the MRO about prescription and non-prescription medications, rebut or explain the test results to the MRO, and provide supporting documentation.

b. During this 72 hour period, any applicant or employee may request that their split specimen be tested at a second laboratory and if positive, they will be responsible for that expense and that cost may be deducted from their paycheck, depending upon the result and, if negative, the employee will be reimbursed by the Borough for the cost of the test and any lost time.

c. Under federal regulations, the MRO has the discretionary authority to notify the Borough that an employee is temporarily medically disqualified from the performance of safety-sensitive
work during this evaluation period and also has the duty to notify the Borough if the employee is taking an impairing effect medication.

d. A positive drug or alcohol test is classified as willful misconduct and a violation of the Borough's Policy. Any employee who tests positive, or refuses to be tested, may be subject to appropriate disciplinary action for engaging in willful misconduct connected with work, up to and including immediate termination, for gross misconduct connected with work, and violation of a safety rule for those employees working in a safety-sensitive position and/or forfeit eligibility for Worker's Compensation benefits N.J.S.A. 34:15-7 if post-accident and may adversely affect an employee's eligibility to receive Unemployment Compensation benefits.

e. Any applicant made a conditional offer that tests positive, or refuses to be tested, will be denied employment or have their offer withdrawn. However, the Borough shall not take adverse employment action in violation of N.J.A.C. 42:61-6.1(9), but shall retain its rights under section N.J.A.C. 42:61-6.1(9)(c).

SECTION 6

IMPAIRMENT CAUSING FAILURE TO ADHERE TO SAFETY PRACTICES

Often times, impairment from drugs or alcohol will cause an employee to fail to adhere to safety guidelines and other common sense safety working practices. Failure to wear a seatbelt, failure to use Borough provided or required safety equipment, failure to follow safety guidelines, or removal (or disabling) of a safety guard will be willful misconduct connected with work, and subject the employee to discipline, up to and including discharge for violation of Borough Policy.

SECTION 7

DISCLOSURE OF POTENTIALLY IMPAIRING MEDICATIONS OR SUBSTANCES

Any employee working in a safety-sensitive position as defined in Section 3, is required, to pre-duty disclosure that they are taking or using ANY impairing effect prescription, including medical marijuana, over-the-counter medications, mind altering synthetic or designer drugs or other substance which may have an effect on performance of safety-sensitive duties. This includes medical and recreational Marijuana, the use of which the Borough, for safety reasons, will not be able to accommodate employees working in safety sensitive positions. However, employees who are qualifying medical marijuana cardholders may request a reasonable accommodation by contacting the DER and such request will be considered.

If the fact that the employee is taking or using an impairing effect medication or substance is not disclosed pre-duty by a safety-sensitive employee and the employee tests positive, is otherwise determined to be taking or using such, or is determined by the MRO to be a potential safety risk due to taking or using an impairing effect medication or substance, that employee will be subject to discipline, up to and including termination, for violation of this safety rule. If disclosure is made, the Borough reserves the right to send the employee for a Fitness-for-Duty evaluation to evaluate the medication or substance and its effects on the performance of safety-sensitive duties. In advance of testing, employees are encouraged to have their own doctor make an individualized assessment of any safety related risks of the medications or substances which they are taking or using, providing
the doctor a copy of their job description and having the doctor to render an opinion on the safety related risks. The employee need not disclose to the Borough the medication or medical condition involved to fulfill the disclosure obligation of this Policy. All information provided will be kept separate from personnel files and in a confidential manner. The MRO, or another Medical Professional selected by the Borough, will make the final determination on the safety related risks of any particular medication or substance.

SECTION 8

POSITIVE TEST FOR ADULTERANTS

The use of an adulterant (something added to a specimen to attempt to hide drug use) is considered a refusal to test and a violation of the Policy. The same would be true if an employee attempted to substitute a specimen. Any employee who is found to have violated this Policy by attempting to defraud a drug or alcohol test may be subject to appropriate disciplinary action, up to and including termination for willful misconduct connected with work, or withdrawal of a job offer. No last chance opportunity is available under such a circumstance. It is a criminal offense to substitute or adulterate a test specimen. It also is a criminal offense in New Jersey to manufacture, sell, give away, or possess any device or substance designed or commonly used to substitute or adulterate a test specimen. N.J.S.A. 2C:36-10. The MRO may declare a urine specimen to be adulterated or substituted based on the laboratory report.

SECTION 9

REFUSAL OF TESTING

A refusal to provide a specimen for testing, unless the MRO agrees a medically valid reason exists for an employee’s inability, will be considered willful misconduct connected with work. Such willful misconduct connected with work will cause an applicant’s offer to be withdrawn and may subject an existing employee to immediate termination for cause. Under New Jersey law, unemployment compensation benefits may not be available in such a circumstance. Failure to report for specimen collection within a reasonable time, two (2) hours of being directed to do so is also classified as a refusal under the Borough Policy.

SECTION 10

EMPLOYEE SUBSTANCE ABUSE DEPENDENCY

The Borough will provide support for employees who need support and help with alcohol or drug dependency via confidential EAP, SAP or Medical/Occupational Health support services. Employees who proactively seek treatment will be treated sympathetically and in a confidential manner in accordance with the Americans with Disabilities Act and under any corollary laws of the State of New Jersey.

The fact that an employee is seeking or undergoing treatment will not, however, be a defense to a charge of willful misconduct if the employee reports for work under the influence of alcohol or drugs. This Policy encourages any employee with a drug or alcohol problem to voluntarily and
confidentially seek help through the EAP/SAP program prior to the commencement of any of the forms of testing identified herein.

For confidential help with a substance abuse problem, employees should contact the DER or the EAP/SAP. Counseling and rehabilitation for alcohol or substance abuse is available through the EAP, and may also be available under the health and welfare benefit program for employees, **only to the extent of the current benefits package**. The Borough will assume no direct financial responsibility for counseling or rehabilitation costs of an employee, not covered by the EAP. Any costs in addition to or in excess of any available health benefits are the employee’s responsibility. A list of state and national Substance Abuse Resources is a part of this Policy.

**SECTION 11**

**TYPES OF TESTING PERFORMED**

- **Pre-employment:** Pre-employment drug testing will be performed on all final applicants for the following positions: Emergency Medical Technicians (including dispatchers); Members of the Borough Fire Department (including dispatchers); Recreation Department Employees who interact with children on a regular basis (including volunteers); any member of the Department of Public Works who is not the holder of a CDL; and, all employees of the Police Department who are not covered by the Law Enforcement Drug Testing Policy enacted by the Dumont Police Department.

- **Routine Fitness-for-Duty:** Routine Fitness for Duty drug testing may be performed for the following positions: Emergency Medical Technicians (including dispatchers); Members of the Borough Fire Department (including dispatchers); Recreation Department Employees who interact with children on a regular basis (including volunteers); any member of the Department of Public Works who is not the holder of a CDL; and, all employees of the Police Department who are not covered by the Law Enforcement Drug Testing Policy enacted by the Dumont Police Department.

- **Reasonable Suspicion:** **All employees** covered by this Policy will be required to submit to a drug and/or alcohol test if the Borough has a reasonable suspicion that an employee is under the influence of drugs or alcohol, which adversely affect or could adversely affect the employee’s job performance. Any Department Head or supervisor who has reason to believe that an employee is under the influence of drugs or alcohol shall immediately report same to the Borough Administrator for further action. Under no circumstances shall a Department Head or supervisor send an employee home instead of contacting the Borough Administrator. Any such conduct shall be punishable pursuant to Borough disciplinary rules. Employees selected for testing shall be suspended until a negative drug/alcohol screen or laboratory test result is received. If a negative result is received, the employee will not suffer a loss of pay.

- **Post-Accident/Incident Testing:** Testing of **all employees** may be conducted under any of the following circumstances: 1) the employee involved in the incident/accident was actively engaging in an alcohol or drug related activity which objectively could have caused or contributed to the injury or damage; or 2) the employee was operating, controlling, or repairing any machinery, tool, device, equipment or vehicle that was involved in the incident/accident; or 3) the employee’s action or in-action was likely a contributing factor to the incident/accident or
cannot be completely discounted as a contributing factor based on information available at the time of the incident/accident; or 4) testing is being conducted as part of the Borough's Post Incident/Accident Investigation related to possible Workers’ Compensation Disqualification; or 5) testing is being conducted for other non-injured employees whose actions, or in-action, could have contributed to the incident/accident as part of a root cause investigation; or 6) post-accident drug testing is required by the Workers’ Compensation Carrier or Fund.

- Random: Random drug testing may be conducted for employees in the following positions: Emergency Medical Technicians (including dispatchers); Members of the Borough Fire Department (including dispatchers); Recreation Department Employees who interact with children on a regular basis (including volunteers); any member of the Department of Public Works who is not the holder of a CDL; and, all employees of the Police Department who are not covered by the Law Enforcement Drug Testing Policy enacted by the Dumont Police Department. Those subject to testing are randomly selected, using scientifically valid methods, from a “pool” of covered employees.

SECTION 12

POLICY PROHIBITIONS

Employees, applicants, volunteers and contractors for the Borough as specified herein, are strictly prohibited from engaging in the following conduct:

1. With respect to illegal drugs, employees and applicants violate this Policy by engaging in the following conduct, during work time, whether or not on Borough premises or property, and are subject to discipline up to and including discharge, or rejection of the application for employment, or cancellation of contractual agreements:

   a. Testing positive in a confirmed drug or alcohol test, or refusing to be tested.

   b. Bringing and/or storing (including in a desk, locker, automobile, or other repository) illegal drugs or drug paraphernalia on Borough premises or property, including Borough-owned or leased vehicles, or vehicles used for Borough purposes.

   c. Having possession of, being under the influence of, testing positive for, or otherwise having in one’s system illegal drugs.

   d. Using, consuming, transporting, distributing or attempting to distribute, manufacturing, selling, or dispensing illegal drugs. In addition, the Borough will refer such matters to the appropriate police authority.

   e. A conviction or plea of guilty relative to any criminal drug offense occurring in the workplace. All employees must notify Borough in writing of any criminal drug conviction no later than five (5) calendar days after such conviction. Illegal drug use, off-the-job which adversely affects an employee’s performance on the job, or which has the potential to jeopardize the health or safety of other employees, the public or the Borough’s equipment or function, shall be cause for disciplinary action up to and including dismissal. Action will be taken against employees who are convicted for
an off-the job drug offense. In deciding what action will be taken, the incident will be evaluated in terms of the nature of the conviction, the employee’s job assignment, the employee’s record with the Borough and other factors related to the impact of the employee’s conviction on the Borough.

f. Abuse of prescription drugs which includes exceeding the recommended prescribed dosage or using others’ prescribed medications. Such prescriptions brought to work should remain in the original labeled container and show both the prescribing doctor’s name and the prescription’s expiration date.

g. Switching, tampering with, diluting, or adulterating any specimen or sample collected under this Policy, or attempting to do so.

h. Refusing to cooperate with the terms of this Policy which includes submitting to questioning, drug testing, medical or physical tests or examinations, when requested or conducted by Borough or its designee, is a violation of Borough Policy and may result in disciplinary action up to and including termination. A refusal to test includes conduct obstructing testing such as failure to sign necessary paperwork or failing to report to the collection site at the appointed time.

i. Failure to advise pre-duty, of the use of a prescription or over-the-counter drug which may alter the employee’s ability to safely perform the essential functions of his or her job.

j. Failure of an employee to notify his or her supervisor before reporting to work if he or she is under the influence of drugs.

2. With respect to alcohol, employees violate this Policy by engaging in the following conduct during work time or on Borough premises or property:

   a. Bringing and/or storing (including in a desk, locker, automobile, or other repository) alcohol on Borough premises or property, including Borough owned or leased vehicles, or vehicles used for Borough purposes.

   b. Having possession of, being under the influence of, testing positive for or having in one’s system, alcohol. Using, consuming, transporting, distributing or attempting to distribute, manufacturing, selling, or dispensing alcohol. *Exceptions to the policy concerning alcohol consumption or possession may be made only upon the prior explicit approval of senior management for specifically identified circumstances.*

   c. A conviction or plea of guilty relative to any criminal alcohol offense occurring in the workplace. All employees must notify the Borough in writing of any criminal alcohol conviction not later than five (5) calendar days after such conviction. Alcohol use off-the-job which adversely affects an employee’s performance on the job, or which has the potential to jeopardize the health or safety of other employees, the public or the Borough’s equipment or function, shall be cause for disciplinary action up to and including dismissal. Action will be taken against employees who are convicted for an off-the-job alcohol offense. In deciding what action will be taken,
the incident will be evaluated in terms of the nature of the conviction, the employee's job assignment, the employee's record with the Borough and other factors related to the impact of the employee's conviction on the Borough.

d. Switching, tampering with, or adulterating any specimen or sample collected under this Policy, or attempting to do so.

e. Refusing to cooperate with the terms of this Policy which includes submitting to questioning, alcohol testing, medical or physical tests or examinations, when requested or conducted by the Borough or its designee, is a violation of Borough Policy and may result in disciplinary action, up to and including termination. A refusal to test includes conduct obstructing testing such as failure to sign necessary paperwork or failing to report to the collection site at the appointed time.

f. Failure of employee to notify his or her supervisor before reporting to work if he or she is under the influence of alcohol.
BOROUGH SPECIFIC RESOURCES

DESIGNATED EMPLOYER REPRESENTATIVE (DER)
NAME: Anthony Schiraldi
TITLE: DPW Superintendent
ADDRESS: 1 Aladdin Avenue, Dumont, New Jersey 07628
PHONE: 201-387-5045
E-MAIL: aschiraldi@dumontboro.org
HOURS WHEN AVAILABLE: Monday-Friday, 8am-4pm

ALTERNATE DESIGNATED EMPLOYER REPRESENTATIVE (DER)
NAME: Christopher Tully
TITLE: Borough Administrator
ADDRESS: 80 West Madison Avenue, Dumont, New Jersey 07628
PHONE: 201-387-5060
E-MAIL: ctully@dumontboro.org
HOURS WHEN AVAILABLE: Mon-Fri, 9:00am-4:00pm; also by appointment

MEDICAL REVIEW OFFICER (MRO)
NAME: Valley Medical Group
ADDRESS: 15 Essex Road, Suite 506, Paramus, New Jersey 07652
PHONE: 201-291-6120
FAX: 201-291-6092

LABORATORY
NAME: Valley Medical Group
ADDRESS: See above.

SUBSTANCE ABUSE PROFESSIONAL (SAP)
NAME: Intervention Strategies, Inc.
ADDRESS: 351 Evelyn Street #303, Paramus, New Jersey 07652
PHONE: (201) 225-9010

CONSORTIUM/THIRD PARTY ADMINISTRATOR (C/TPA)
NAME: Summit Risk
ADDRESS: 2 Walnut Grove Drive # 210, Horsham, Pennsylvania 19044
PHONE: (215) 443-3596
SUBSTANCE ABUSE PROFESSIONALS

NATIONAL RESOURCES

A2Z Alcohol & Drug Abuse-Addiction ............................................ 1-800-274-2042
Al-Anon/Alateen Family Group Headquarters ....................................... 1-800-356-9996
Alcoholics Anonymous World Service ............................................... 1-212-870-3400
American Council on Alcoholism Helpline ........................................ 1-800-527-5344
800 Cocaine—An Information and Referral Hotline ................................ 1-800-262-2463
Nar-Anon Family Group Headquarters ............................................. 1-310-547-5800
Narcotics Anonymous ........................................................................ 1-818-773-9999
National Association of Alcoholism (NAADAC) ...................................... 1-800-548-0497
www.naadac.org Fax: ............................................................... 1-800-377-1136
National Association of Addiction Treatment Professionals .................... 1-717-581-1901
www.naatp.org
National Council on Alcoholism and Drug Dependence, Inc. ................. 1-212-269-7797
www.ncadd.org
Hope Line (24-hour affiliate referral) .................................................. 1-800-NCA-CALL
Center for Substance Abuse Prevention’s Workplace Hotline ................... 1-800-WORKPLACE
National Clearinghouse for Alcohol & Drug Information ........................ 1-800-729-6686
Center for Substance Abuse Prevention’s Drug Information,
Treatment & referral Hotline ............................................................. 1-800-662-HELP
(Spanish-Espanol) ......................................................................... 1-800-66-AYUDA
2020
BOROUGH OF DUMONT
ORDINANCE

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Ordinance No. 1577
Date: June 2, 2020
Page: 1 of 6
Subject: Chapter 392-Pools
Purpose: Amendment
Dollar Amount: 

Offered by: ________________________________
Seconded by: ________________________________

Prepared By: Marc Leibman, Esq.

Certified as a true copy of an Ordinance Introduced and Passed by the Governing Body of the Borough of Dumont on the above date at a Public Meeting by:

______________________________
Susan Connelly, RMC, Municipal Clerk
Borough of Dumont, Bergen County, New Jersey

AMENDMENT OF CHAPTER 392 OF THE DUMONT BOROUGH CODE-POOLS

392-1 Definitions.
As used in this chapter, the following terms shall have the meanings indicated:

CONSTRUCTION
Includes the building or constructing or installing a new swimming pool or enlarging an existing swimming pool or any of the facilities.

PORTABLE POOL
Any above-surface type of swimming, bathing or wading pool and all equipment and
appurtenances thereto, not designed or intended to be stationary or permanently fixed, but
designed and intended to be removed and stored.

SWIMMING POOL
Any private pool, whether permanently constructed or of the portable type, having a depth
of more than 18 inches below the level of the surrounding land or any above-surface pool
having a depth of more than 54 inches, designed, used and maintained for swimming or
bathing purposes by an individual for use of the members of the household and guests and
located on the applicant's land as an accessory use to a residence, and shall include all
buildings, equipment, structures and appurtenances thereto.

WADING POOL
Includes any shallow pool not included under the definition of "swimming pool."

§ 392-2 Intentionally Omitted.

§ 392-3 Permit required.
No person shall construct, erect, install or alter a swimming pool in the Borough without first
having obtained a permit therefor and without complying with the provisions of this chapter.

§ 392-4 Application for permit; fees; accompanying data.
Application for a permit under this chapter shall be made to the Building Inspector and shall be
accompanied by the following:

A. Plans and specifications or proper description brochures, in duplicate.

B. Plot plans, and/or survey, prepared by a licensed surveyor or engineer, in duplicate,
showing property lines of the premises upon which the swimming pool is to be constructed
or installed, all existing houses and structures thereon, abutting streets and properties and
the location and dimensions of the proposed pool and its auxiliary structures, including
topographic information and spot grades in the affected yard, as well as 10 feet onto all
adjacent properties, to demonstrate that the proposed pool construction will not impact
drainage, and on which the licensed professional has located the proposed pool and certified
that said location is accurate; the certification shall also set forth the area of the rear yard
and the area of the pool and its accessory structures as calculated by the licensed
professional and a description of the enclosure or fence to be used.

C. Where the pool is installed on a corner lot, the side nearest the street shall be screened with
shrubs no less than four feet in height above ground level.

D. A description, in duplicate, of the method of disinfection, treatment and disposal of the
water to be used.
E. A fee of $150 for any aboveground pool, and $75 for the first $1,000 or fraction thereof, plus $10 for each additional $1,000 or fraction thereof, of the estimated cost of construction for any in-ground pool. [Amended 9-21-2010 by Ord. No. 1417]

F. After completion of the pool, the licensed professional shall certify that the pool was actually constructed in the location as indicated on the aforementioned survey.

§ 392-5 Procedure respecting application for permit; duties of Building Inspector.
A. The Building Inspector shall, within 10 days after receiving an application for a permit:

1. Approve the application as submitted and cause the permit to be issued; or

2. Require an amendment to the application to assure proper compliance with the provisions of this chapter and then cause the permit to be issued; or

3. Reject the application when it is determined that a permit should not be issued. In such event an appeal to the Joint Land Use shall be available to the applicant.

B. The Building Inspector shall file the duplicate set of plans and specifications with the Board of Health of the Borough prior to the issuance of a permit of a Swimming Pool.

§ 392-6 Construction and maintenance.
All materials used in the construction of swimming pools shall be waterproof and so designed and constructed as to facilitate emptying and cleaning, and shall be maintained and operated in such manner as to be clean and sanitary at all times. Inlets shall be so located and spaced as to secure satisfactory dispersion of inflowing waters throughout the pool, and to permit draining, cleaning and disinfecting of the bottom and sides. Sand or earth bottoms shall not be used.

§ 392-7 Location.
All Swimming Pools and wading pools of permanent type of construction, shall not be constructed, installed, located, maintained or operated within the setback as provided in Chapter 455 Zoning, and in no case within six feet of any property boundary line or of any dwelling located on said premises for an aboveground pool and within 10 feet of any property line for an in-ground pool, nor nearer to any street line than 25 feet as measured from the property. Portable Pools may be installed up to six (6) feet from the Property line in the rear yard setback.

§ 392-8 Electrical installations.
Electrical fixtures, wiring and installation thereof used in connection with a pool shall conform to the standards of the National Electric Code and the National Fire Protection Association for electrical wiring and apparatus.

§ 392-9 Chlorination, disinfection and filtering equipment; sanitary quality of water.
A. All swimming pools, including the portable pools, shall be so constructed, installed and
maintained as to provide necessary equipment for the chlorination and other disinfection and filtering to comply with approved bacteriological standards as may be promulgated by ordinance or regulations issued by the Board of Health of the Borough and the New Jersey State Department of Health and Senior Services.

B. The physical, chemical and bacterial qualities of the water of swimming pools and portable pools shall comply with the regulations and standards provided in the ordinances or regulations of the Borough's Board of Health.

§ 392-10 Water supply.
There shall be no physical connection between a potable (public or private) water supply system and a swimming pool, portable pool or wading pool, at a point below the maximum flow of the pool or to a recirculating or heating system of a pool, unless such physical connection is so installed and operated that no pool water can be discharged or siphoned into a potable water supply system.

§ 392-11 Water use.
All pools referred to in § 392-1 not equipped with facilities for the recirculation and reuse of the pool water, may be subject to closure by order of the Board of Health.

§ 392-12 Safety regulations.
A. Every swimming pool having a depth of 18 inches below the level of the land surrounding the pool, and above-surface pools of a height of 18 inches or greater, shall be completely surrounded by a fence or wall of substantial construction, not less than six feet in height, which shall be constructed so as not to have openings, holes or gaps larger than two inches in dimension, except for doors and gates. Such fence and any gate shall be so designed, constructed and maintained as to prevent access to the pool by children at any time except when the pool is in use under the supervision of the possessor of the pool or by permission of the owner. [Amended 9-21-2010 by Ord. No. 1417]

B. Said fence or wall enclosures shall be so designed and constructed as to reasonably prevent any person from gaining access beneath, through or over the same and shall be provided with one or more substantial gates or doors of the same height as the fence or wall enclosure, each gate or door to be equipped with a self-closing and self-latching device capable of keeping said gate or door securely closed.

C. Complete enclosure of the yard in which a pool is located by a fence and gate of the type mentioned in Subsections A and B of this section shall constitute compliance with this section.

D. Any ordinance requiring the obtaining of a permit for the erection of a fence shall not apply
to fences erected pursuant to the provisions of this chapter except in such case where a fence is erected along a property line.

E. Any access ladder or steps used in connection with the above-surface type pool or portable pool shall be removed from the pool when the same is not in use, unless the same is enclosed by a fence.

F. Lights used to illuminate any pool shall be so arranged and shaded as to reflect light away from the adjoining premises.

§ 392-13 Use of public water.
No public water shall be used in connection with the operation of any private swimming pool during any time when restrictions are imposed upon the use of such public water.

§ 392-14 Filtration.
Every pool shall be equipped with such filtration, circulation, clarification and chlorination systems as may be required under regulations of the Borough Board of Health to maintain the water in a clean and healthful condition.

§ 392-15 Summary closing of pool for violations.
Whenever any pool set forth in § 392-1, by reason of mechanical defects or failure to comply with the requirements of this chapter, is a hazard to the health of users thereof, the health officer may summarily close such pool and keep such pool closed until no further hazard to users of the same exists, subject to the right of appeal to the Board of Health by the owner of such pool, which appeal shall not stay the action of the health officer.

§ 392-16 Variance.
The Joint Land Use Board may, by resolution, grant a variance of the provisions of this chapter in specific cases, consistent with public safety and the general provisions and intent of this chapter; provided, however, that when any question of sanitation is involved, such variance may be allowed only if the same is also recommended by resolution of the Board of Health and it has been thereby determined and declared that such variance will not be harmful to public health.

When calculating the need for a variance arising from an applicant’s request of a Portable Pool, the calculation of Green Area coverage shall include 50% of the surface area of the Portable Pool.

§ 392-17 Violations and penalties.
Any person who violates any provision of this chapter shall, upon conviction thereof, be punished by a fine not less than $500 nor more than $1,000, or by imprisonment for a term not
exceeding 90 days, or both.

Attest:

Susan Connelly, RMC
Municipal Clerk

Introduction: June 2, 2020
Adopted:

Andrew LaBruno, Mayor